

# India - Service Sector: NSS 63rd Round Sch-2.345 : July 2006-June 2007

**NSSO - Ministry of Statistics and PI, Government of India**

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## Overview

### Identification

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#### ID NUMBER

IND-NSSO-63rd-Sch2.345-US-2006

### Version

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#### VERSION DESCRIPTION

V 1.0: Re-organised anonymized dataset for public distribution

#### PRODUCTION DATE

2012-11-03

### Overview

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#### ABSTRACT

Objective of the Enterprise Survey: The main aim of the survey on unorganised service sector enterprises was to estimate size in terms of the total number enterprises, employment, fixed assets, operating expenses, receipts, value added, loans, gross value added per worker, etc. Information on other attributes like type of ownership, type of operation, number of months of

operation, whether carrying out mixed/multiple activity, whether accounts maintained, etc. was also collected. The results pertaining to size, employment and economic indicators of the enterprises have been brought out in the first report\*. This report, second in the series, presents the size and employment in brief, and the characteristics of the enterprises in terms of ownership, social group of owner, type of operation, months of operation, whether accounts maintained, nature of problems faced, etc.

#### KIND OF DATA

Sample survey data [ssd]

#### UNITS OF ANALYSIS

Randomly selected unorganised service sector enterprises.

### Scope

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#### NOTES

The 63rd round (July 2006 - June 2007) of NSS is earmarked for survey on service sector enterprises (excluding Trade). All service sector enterprises, which are not covered under the Annual Survey of Industries (ASI), will be under coverage of the survey. Government and public sector undertakings are not under survey coverage. Usual annual surveys on consumer expenditure is also being carried out during this round.

The descriptions of the service enterprises under the coverage of the survey are given below.

#### HOTELS AND RESTAURANTS

A hotel is an enterprise that provides lodging services with or without arrangements for meals, other prepared food and refreshments. Dharamshala type lodging places, private guest/rest houses, tourist lodges etc. which provide short-stay accommodation are to be covered under hotels provided they are run by private individuals/organizations. However similar facilities if provided by Govt./PSU/Local body/Statutory bodies (e.g. ISI, IIT, Universities etc.) are excluded from the purview of the coverage of this survey. A restaurant generally provides eating and drinking services where prepared meals, food and refreshments and other snacks are sold for immediate consumption without any provision for lodging. Such enterprises are

variously known as restaurants,cafes, cafeteria, snack bars, lunch counters, refreshment stands, milk bar canteens, etc. Bars and other drinking places will also be treated as restaurants. Canteens located in offices, factories, etc. will be treated as restaurants if they are operated by private contractors. But departmental canteens run by government will be excluded.

#### OTHER LAND TRANSPORT

All types of passenger transport by land (excluding railway) and freight/ goods transport by road will be covered.

#### WATER TRANSPORT

All kinds of water transport will be covered.

#### SUPPORTING AND AUXILLIARY TRANSPORT ACTIVITIES; ACTIVITIES OF TRAVEL AGENCIES

Activity of cargo handling, storage and warehousing, supporting services to land transport like operation of railway stations, bus stations, highway bridges, toll roads, vehicular tunnels; parking lots and left luggage facilities at the railway stations, bus stations, traffic control activities, supporting services to water transport such as operation and maintenance of piers, docks, pilotage, loading and unloading of vessels, supporting services to water transport such as operation and maintenance of piers, docks, pilotage, and loading and unloading of vessels,activity of travel agency and tour operators, activities of other transport agency, supporting services incidental to transport such as packing, freighting, travel agency etc. will be covered.

#### POST AND TELECOMMUNI-CATIONS

All enterprises providing communication services, not owned by government, Public Sector undertakings and local bodies will be covered. This will include courier services, ISD/STD/ PCO booths, voice mail services through computer networking, video/fax services, phone plus services, voiced and non-voiced leased circuits, telex/FAX/data services through computer network, radio paging, e-mail, video conferencing, internet, audio services and activity of cable operators etc.

OTHER FINANCIAL INTERMEDIATION. [This group includes financial intermediation other than that conducted by monetary institutions.]

Monetary intermediation for example banking etc. is excluded from coverage. Activities of hire - purchase financing, housing finance companies, commercial loan companies, other credit activities including pawn shops,other financial intermediation, Mutual Funds, Chit fund/Kuri companies, Investment Companies, Money lending from own source will be considered as an enterprise with separate NIC code (65925). Self-help groups and cooperative credit societies will also be given separate NIC codes 65994 and 65995 respectively.

#### INSURANCE AND PENSION FUNDING, EXCEPT COMPULSORY SOCIAL SECURITY

Life insurance [this class includes life insurance (including reinsurance) and other long-term insurance, with or without a substantial saving element, including the collection and investment of funds], pension funding [this class includes the provision of retirement incomes, including activities involving the collection and investment of funds (Funding and administration of compulsory social security programmes are classified in class 7530)], and non-life insurance will be covered.

#### ACTIVITIES AUXILIARY TO FINANCIAL INTERMEDIATION

Administration of financial markets, security dealing activities, activities auxiliary to financial intermediation,activities of financial advisers, mortgage advisers and brokers, foreign exchange services, activities auxiliary to insurance and pension funding, activities of insurance agents, average and loss adjusters, actuaries and salvage administrators etc will be covered.

#### REAL ESTATE ACTIVITIES

It include activities like: (i) purchase, sale, letting and operating of real estate i.e. residential/non-residential buildings, (ii) developing and sub-dividing real estate into lots, (iii) lessors of real property and (iv) real estate agents, brokers and managers engaged in renting, buying and selling, managing and appraising real estate on a contract or fee basis. Renting of marriage halls, etc. will be considered as an enterprise. Operating of real estate of self owned residential buildings (NIC code 70103) will not be included.

#### RENTING OF MACHINERY AND EQUIPMENT WITHOUT OPERATOR AND OF PERSONAL AND HOUSEHOLD GOODS

Renting of transport equipment, other machinery and equipment [like agricultural machinery and equipment,construction

and civil engineering machinery and equipment, office machinery and equipment (including computers)], personal and household goods (like tents, electrical appliances, furniture, video cassettes, CDs, audio cassettes and records etc., books, journals and magazines, musical instruments, bicycle, footwear etc.) will be covered.

#### COMPUTER AND RELATED ACTIVITIES

Hardware consultancy, software publishing; software consultancy, supply and maintenance; data processing, maintenance and repair of office, accounting and computing machinery, other computer related activities [for example maintenance of websites of other firms/ creation of multimedia presentations for other firms etc.] will be

covered.

#### RESEARCH AND DEVELOPMENT

Research and experimental development on natural sciences and engineering, social sciences and humanities will be covered

#### OTHER BUSINESS ACTIVITIES

Legal, accounting, book-keeping and auditing activities; tax consultancy; market research and public opinion polling; business and management consultancy, architectural, engineering and other technical activities, advertising, labour recruitment and provision of personnel, investigation and security activities, building-cleaning and industrial cleaning activities, photographic activities, packaging activities, photostat, blue-printing, xeroxing, copying of documents, ammonia printing, fashion design related to textiles, wearing apparel, shoes, jewelry, furniture, Interior decoration, secretarial activities such as telephone answering, stenographic, duplicating & mailing etc. will be covered

#### EDUCATION

All Govt. or Govt.- aided educational institutions will be outside the survey coverage. Educational institutions where salaries of the teachers/ staffs are funded fully by the Government will be considered as Govt. aided educational institution. All private educational institutions will be covered whether or not recognised. This will include management training institutes, computer training centres, nursing schools, schools of music, drama, dance, fine arts, modelling, fashion designing, yoga and physical education and general coaching centres (e.g.

for various competitive examinations) etc. are to be covered. This will also include adult education centers.

#### HEALTH AND SOCIAL WORK

All enterprises engaged in health and medical services other than those owned by government, public sector undertakings, local bodies will be covered, irrespective of the system of medicine. Hospital activities including those of general and specialized hospitals, sanatoria, asylums, rehabilitation centres, dental centres, nursing homes and other health institutions having accommodation facilities, nurses, physiotherapist etc., independent diagnostic/pathological laboratories, independent blood banks, ambulance services etc. will be covered. All

private dispensaries, clinics and consultation chambers run by doctors will be covered. An employed doctor and para-medical person (such as midwife, dai etc.) doing private practice will be covered and his/her private practice alone will be considered as an enterprise. The survey will also cover activities of veterinary activities including bird hospitals under NIC code 852. Social work with accommodation such as activities of orphanages, children boarding homes and hostels, residential nurseries, homes for aged, physically or mentally handicapped persons

will be covered under NIC code 8531. Social work without accommodation involving variety of social counseling, welfare, refugee, referral and similar services to individuals and families in their homes or elsewhere (e.g. day care centres for children, handicapped etc.) will be covered under NIC code 8532

#### SEWAGE AND REFUSE DISPOSAL, SANITATION AND SIMILAR ACTIVITIES

Garbage collection, transportation and disposal, removal of human wastes and their treatment and disposal, including maintenance of sewers and drains, outdoor sweeping and watering of streets etc. will be covered.

#### ACTIVITIES OF RELIGIOUS ORGANISATIONS

Individuals who provide services directly to worshippers will only be covered.

**ACTIVITIES OF OTHER MEMBERSHIP ORGANISATIONS N.E.C.**

Includes rotary clubs, student associations, war veterans' associations, book clubs, philatelic clubs, associations of minority groups, and the activities of other similar associations / organisations.

**RECREATIONAL, CULTURAL AND SPORTING ACTIVITIES**

Motion picture, radio, television and other entertainment activities; news agency activities; library, archives, museums and other cultural activities; sporting and other recreational activities will be covered.

**OTHER SERVICE ACTIVITIES**

Persons providing services to the households, for example cooks, tutors, etc., by visiting the houses of the employer (service consuming households) will not be considered as self-employed. However, if some persons provide legal, accounting or similar services to different enterprises on a fee basis, they will be treated as running own account enterprise.

**TOPICS**

<b>Topic</b>	<b>Vocabulary</b>	<b>URI</b>
Infrastructure	World Bank	
Social Development	World Bank	
Macroeconomics & Growth	World Bank	
Labor & Social Protection	World Bank	

**KEYWORDS**

Unorganised Services (Excluding Trade and Finance) Unorganised Services (Excluding Trade and Finance) Unorganised Services (Excluding Trade and Finance) Unorganised Services (Excluding Trade and Finance), Mixed Activity, Broad Activity Group, Segment number, Own account enterprise (OAE), establishment Enterprise, 5-digit code as per NIC-1998, Nature of operations - seasonal, perennial and casual, Type of ownership, registered under companies act, Rent, gross value added, Employment, Worker, Fixed assets owned and hired, Banks, Financial Institutions

**Coverage****GEOGRAPHIC COVERAGE**

The survey will cover the whole of the Indian Union except (i) Leh (Ladakh) and Kargil districts of Jammu &

Kashmir (central sample), (ii) interior villages of Nagaland situated beyond five kilometres of the bus route and (iii)

villages in Andaman and Nicobar Islands which remain inaccessible throughout the year.

**UNIVERSE**

The survey did not cover the service sector enterprises pursuing the activities of wholesale and retail trade, repair of motor vehicles, motorcycles and personal and household goods (G); financial intermediation (J); public administration and defence (L); private households with employed persons (P) and extra-territorial organisations and bodies (Q).

**Producers and Sponsors****PRIMARY INVESTIGATOR(S)**

<b>Name</b>	<b>Affiliation</b>
NSSO	Ministry of Statistics and PI, Government of India

**OTHER PRODUCER(S)**

<b>Name</b>	<b>Affiliation</b>	<b>Role</b>
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Name	Affiliation	Role
SDRD	Ministry of Statistics and PI, Govt of India	Questionnaire design, sampling methodology and data analysis
Field Office Division	Ministry of Statistics and PI, Govt of India	data collection
Data Processing Division	Ministry of Statistics and PI, Govt of India	data processing
Computer Centre	Ministry of Statistics and PI, Govt of India	Dissemination and Website hosting

## FUNDING

Name	Abbreviation	Role
Ministry of Statistics and PI	MOSPI, Government of India	

## OTHER ACKNOWLEDGEMENTS

Name	Affiliation	Role
Governing council	NSSO, GOI	Formulation of Survey design

## Metadata Production

## METADATA PRODUCED BY

Name	Abbreviation	Affiliation	Role
Computer Centre	CC	Ministry of Statistics and PI (MOSPI)	Documentation of the study

## DATE OF METADATA PRODUCTION

2012-11-03

## DDI DOCUMENT VERSION

Version 1.0 (November, 2012)

## DDI DOCUMENT ID

DDI-IND-NSS-63rdRnd-SCH-2.345-Service-Sector-2006-07

# Sampling

## Sampling Procedure

### Sampling Procedure

#### Outline of sample design:

Two frames have been used for the 63rd round survey viz. List frame and Area frame.

#### List frame:

A list of 1000 service sector companies distributed all over India has been used as list frame. The list of financial sector enterprises has been supplied by RBI. For the other service sector enterprises the list has been supplied by the Ministry of Company Affairs.

For all the companies in the list frame, information will be collected considering all the branch offices. A combined schedule 2.345 is to be filled up for the list frame companies covering all the branches.

All these companies in the list frame will be surveyed. However, these companies and their branch offices will be excluded from the coverage of the area frame survey to avoid duplication.

There is no sub-round restriction for the list frame units.

All the enterprises in the list frame are common to both central and state samples.

The list frame units will be surveyed by the central agency only. Validated data regarding list frame units will be supplied by DPD to the respective State agencies.

#### Area frame:

A stratified multi-stage design has been adopted for the 63rd round survey. The first stage units (FSU) will be the 2001 census villages (Panchayat wards in case of Kerala) in the rural sector and Urban Frame Survey (UFS) blocks in the urban sector. In addition, for the newly declared towns and out growths (OGs) in census 2001 for which UFS has not yet been done, a separate list has been prepared and these list has been used as a frame for such towns and OGs in urban sector. For these towns and OGs the whole town/ OG will be considered as FSU. The ultimate stage units (USU) will be households/ service sector enterprises, in both the sectors. In the case of large villages/ towns/ blocks requiring hamlet-group (hg)/ sub-block (sb) formation, one intermediate stage will be the selection of hgs/ sbs from each FSU.

The list of villages as per census 2001 has been used as frame for the rural sector.

In the urban sector, three kinds of frames have been used:

- (a) For the 27 towns with population 10 lakhs or more (as per Census 2001), EC-98 has been used as frame.
- (b) For other UFS towns, the latest available list of UFS blocks has been used as frame.
- (c) For non-UFS towns list of such towns/ OGs has been used as frame.

Stratification: Within each district of a State/ UT, two basic strata have been formed:

- i) rural stratum comprising of all rural areas of the district and
- ii) urban stratum comprising of all the urban areas of the district. However, if there are one or more towns with population 10 lakhs or more as per population census 2001 in a district, each of them will also form a separate basic stratum and the remaining urban areas of the district will be considered as another basic stratum. There are 27 towns with population 10 lakhs or more at all-India level as per census 2001.

#### Sub-stratification for area frame:

Rural sector: If 'r' be the sample size allocated for a rural stratum, the number of sub-strata formed was 'r/2'. The villages within a district as per frame was first arranged in ascending order of population. Then sub-strata 1 to 'r/2' have been demarcated in such a way that each sub-stratum comprised a group of villages of the arranged frame and have more or less equal population.

#### Urban sector:

(a) For the 27 cities where EC-98 frame have been used: A number of sub-strata have been formed within each stratum based on EC-98 information as under:

- sub-stratum 1: all FSUs having at least one establishment (i.e. enterprise with at least one hired worker) of Financial sector (NIC 2004 codes: 659, 66, 67) under coverage of survey.
- sub-stratum 2: all FSUs, excluding those in the already formed sub-stratum 1, having at least one establishment under coverage of survey belonging to any of the NIC 2004 codes: 72, 73, 92.
- sub-stratum 3: all FSUs, excluding those in the already formed sub-strata, having at least one establishment under coverage of survey belonging to any of the NIC 2004 codes: 61, 6302, 70, 71, 90, 9191, 9199.
- sub-stratum 4: all FSUs, excluding those in the already formed sub-strata, having at least one establishment under coverage of survey belonging to any of the NIC 2004 codes: 602, 6301, 6303, 6304, 6309, 85.
- sub-stratum 5: all FSUs, excluding those in the already formed sub-strata, having at least one establishment in any one of the Section H, I, J, K, M, N, O of NIC 2004 under coverage of survey.
- sub-stratum 6: all FSUs, excluding those in the already formed sub-strata, having at least one own account enterprise (OAE) i.e. enterprise with no hired worker in any one of the Section H, I, J, K, M, N, O of NIC 2004 -

under coverage of survey.

- sub-stratum 7: rest of FSUs of the stratum.

(b) For other cities and towns of urban stratum of a district: Three sub-strata were formed as follows:

sub-stratum 1: all UFS blocks (as per the latest UFS) identified as Industrial Area (IA) or Bazar Area (BA) or Hospital Area (HA) or Slum Area (SA).

- sub-stratum 2: remaining UFS blocks.

- sub-stratum 3: non-UFS towns/ OGs of Census 2001

Total sample size: 13997 FSUs for area frame and 1000 service sector companies for list frame have been allocated at all-India level for central sample on the basis of investigator strength. For state sample, 16892 FSUs have been allocated for area frame.

Allocation of total samples to States and UTs: The total (all-India) sample FSUs have been allocated to the States and UTs in proportion to number of workers in service sector enterprises as per EC '98 engaged in the activities under coverage of the survey subject to the availability of investigators ensuring more or less uniform work-load per investigator. (Hereafter, "workers" will mean workers in service sector enterprises as per EC '98 engaged in the activities under coverage of this round).

Allocation of State/ UT level sample to Rural and Urban sectors: State/UT level sample sizes have been allocated to rural and urban sectors in proportion to the total number of workers.

Allocation to strata: Within each sector of a State/UT, the respective sample size has been allocated to the different strata in proportion to the stratum population as per census 2001.

Allocation to sub-strata:

Rural sector: Allocation to each sub-stratum was 2 except in a few sub-strata.

Urban sector: For 27 million plus cities in the urban sector, stratum allocations have been distributed over the substrata in proportion to the number of workers.

For other urban strata, maximum of 2 FSUs were allocated to sub-stratum 3. Rest of the stratum allocation were divided among the sub-strata 1 & 2 in proportion to number of FSUs in the sub-strata with double weightage to sub-stratum 1. Minimum sub-stratum allocation was 2 for sub-strata 1 & 2.

Selection of FSUs: Samples have been drawn in the form of two independent sub-samples in each stratum × substratum.

Rural sector: FSUs have been selected by PPSWR with size as 'other workers' (i.e. total workers - household industry workers - agricultural labourers - cultivators) as per census 2001.

Urban sector:

(a) For 27 million plus cities: FSUs have been selected by PPSWR with number of workers as size.

(b) For other cities/towns: FSUs have been selected by SRSWOR.

Selection of hamlet-groups/ sub-blocks/ households/ enterprises - important steps

Formation of segment 9: All non-agricultural enterprises having 50 or more workers in the entire FSU and having operated at least one day during last 365 days preceding the day of survey (hereinafter to be called as 'big' enterprises for brevity) are listed and all the eligible units under coverage are surveyed. All the listed big units (whether under coverage or not) constitute segment 9. All eligible enterprises under coverage are surveyed in segment 9.

Criterion for hamlet-group/ sub-block formation: Having constituted segment 9 as stated above, it is determined whether listing is to be done in the whole sample FSU or not. For this, approximate present population (P) and approximate total number of non-agricultural enterprises (E) for the whole FSU may be ascertained first from knowledgeable persons. Depending upon the values of 'P' and 'E', it is divided into a suitable number (say, D) of 'hamlet-groups' in the rural sector and 'sub-blocks' in the urban sector as stated below.

population (P) | no. of hgs/ sbs to be formed | no. of non-agricultural enterprises (E) | no. of hgs/ sbs to be formed  
less than 1200 | 1 | less than 120 | 1

1200 - 1599 | 4 | 120 - 159 | 4

1600 - 1999 | 5 | 160 - 199 | 5

2000 - 2399 | 6 | 200 - 239 | 6

and so on ...

However, while considering enterprise criteria, segment 9 enterprises, if any, are excluded i.e. the value of 'E' above may be adjusted in respect of the number of enterprises in segment 9. For rural areas of Himachal Pradesh, Sikkim and Poonch, Rajouri, Udhampur, Doda districts of Jammu and Kashmir and Idukki district of Kerala, the number of hamlet-groups are formed as follows.

Approximate present population of the sample village | no. of hgs to be formed

Less than 600 (no hamlet-groups) | 1

600 to 799 | 4

800 to 999 | 5

1000 to 1199 | 6

.....and so on

For enterprise criterion, procedure is not to be changed for the above areas.

The higher of the two values as per population and enterprise criteria is accepted as the number of hgs/ sbs to be actually formed.

Formation of hamlet-groups/ sub-blocks: In case hamlet-groups/ sub-blocks are formed in the sample FSU, the same is done by more or less equalizing population. However, it is ensured that the hamlet-groups/ sub-blocks formed are clearly identifiable in terms of physical landmarks.

Formation of segments 1 and 2: After formation of hg / sb in the large FSUs, three hg's/ sb's are selected for listing in the following manner - with maximum number of service sector enterprise under survey coverage, failing which, with maximum number of non-agricultural enterprises, failing which, with maximum percentage share of population will always be selected and termed as Segment 1; two more hgs/ sbs are selected with SRSWOR and combined to constitute Segment 2.

Listing of households/ enterprises and formation of their frame: Having determined the area(s) to be considered for listing, the next step is to list all the households and non-agricultural enterprises (NAEs) [including those found to be temporarily locked after ascertaining temporariness of locking of households/ NAEs through local enquiry]. Although all non-agricultural enterprises are to be listed, only the service sector enterprises as given in para 2.1 are covered for the survey. Thus, Govt. service sector enterprises / PSUs/ enterprises under the coverage of ASI frame (2004 - 05) are not be considered for survey. Further, those service sector enterprises which operated for at least 30 days (15 days for seasonal enterprises) during the reference year (i.e. last 365 days preceding the date of survey) qualify for survey. Such enterprises will hereafter be referred to as 'eligible enterprises'.

Listing and selection of enterprises/ households are done separately for segment 1 and segment 2. For segment 2, hg/sb with order of selection number 1 may be listed first and that with order of selection number 2 is listed next but selection of enterprises/ households are made from the combined list.

Formation of Second Stage Strata and allocation of households for schedule 1.0:

For rural sector in each selected village/ segments, three second stage strata (SSS) namely SSS 1, SSS 2 & SSS 3 are formed. Households with any member who worked for at least one day in any public works scheme of Govt. during last 365 days constitute SSS 1. Out of the remaining households SSS 2 and SSS 3 are formed on the basis of land possessed by household.

A cut-off point 'X' (in hectares) is determined at State/UT level from NSS 59th round data in such a way that top 20% of the rural households possessed land equal to or more than X. Out of the remaining (other than SSS 1) households all the listed households possessing land less than X will be in SSS 2 and the rest of the households will be in SSS 3.

For urban sector in each selected block/ segments, two second stage strata (SSS) namely SSS 2 & SSS 3 (there is no SSS 1 for urban sector) are formed on the basis of household MPCE.

In the urban sector, a cut-off point 'A' (in Rs.) is determined at NSS state-region level from NSS 61st round data in such a way that top 20% of the households had MPCE equal to or more than 'A'. All the listed households with MPCE less than 'A' will be in SSS 2 while the rest of the households will be in SSS 3.

The no. of households to be surveyed for sch. 1.0 is 6 in a rural FSU and 4 households in an urban FSU.

Composition of SSS with no. of households to be surveyed for sch. 1.0 are as follows:

SSS | composition of SSS | no. of households to be surveyed for sch. 1.0  
without hg/ sb formation | with hg/ sb formation (for each segment)

rural

SSS 1: households with at least one member who worked for at least one day in any public works scheme during last 365 days. | 2 | 1

SSS 2: of the remaining hhs.; households with land possessed < X | 2 | 1

SSS3 other households | 2 | 1

urban

SSS 2: households with MPCE < A | 2 | 1

SSS 3: other households | 2 | 1

Selection of households for Schedules 1.0: From each SSS the sample households for schedule 1.0 are selected by SRSWOR.

Formation of Second Stage Strata and allocation of enterprises for schedule 2.345:

In each of the segments 1, 2 and 9 of the sample FSU, eligible enterprises under coverage are divided into 8 (eight) second stage strata (SSS) as follows:

(i) establishments:

SSS 1 - financial intermediation excluding monetary intermediation but including money lending, self help group (SHG), co-operative credit society (659), insurance and pension funding except compulsory social security (66), activities auxiliary to financial intermediation (67)

SSS 2 - storage and warehousing (6302), computer and related activities (72), research and development (73)

SSS 3 - water transport (61), sewage and refuse disposal, sanitation (90), membership organizations (91)

SSS 4 - hotels etc. (551), supporting and auxiliary transport activities, activities of travel agencies excluding

storage and warehousing (6301, 6303, 6304, 6309), post and courier activities (641), real estate (701), architectural, engineering etc. (742), advertising (743), human health (851), motion pictures, radio, TV and other entertainment (921)

SSS 5 - rest of the establishments

(ii) OAEs:

SSS 6 - financial intermediation excluding monetary intermediation but including money lending, self help group (SHG), co-operative credit society (659), insurance and pension funding, except compulsory social security (66), activities auxiliary to financial intermediation (67)

SSS 7 - real state (70), computer and related activities (72), research and development (73), other business activities (74)

SSS 8 - rest of the OAEs

The number of enterprises to be surveyed for schedules 2.345 in each FSU are as follows (excluding big enterprises in segment 9).

SSS no. | number of enterprises to be surveyed for schedule 2.345  
without hg/ sb formation | with hg/ sb formation (for each segment)

1 | 2 | 1

2 | 2 | 1

3 | 2 | 1

4 | 4 | 2

5 | 4 | 2

6 | 2 | 1

7 | 4 | 2

8 | 4 | 2

total | 24 | 12

From each SSS the sample enterprises for schedule 2.345 are selected by SRSWOR.

## Deviations from Sample Design

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There was no deviation from the original sampling design

## Weighting

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WGT- Multiplier has been provided along with NS Count for sub-sample and combined. The weighting factor has been computed as posted in each record as per the following formula:

$HHMLT = HHMLT/100$  if  $NSS == NSC$ ;

and  $HHMLT = MLT/200$  if  $NSS != NSC$ ;

# Questionnaires

## Overview

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Schedule 3.1 consists of the following 7 blocks:

[0] descriptive identification of sample enterprise

[1] identification of sample enterprise / establishment

[2] particulars of operation and background information

[3] selected important operating expenses during the reference month (Rs. in whole number)

other operating expenses during the reference month: all activities

(Rs. in whole number)

(blocks 3 and 3.1 together will give total expenses of the enterprise. If some of the items have already been covered under specific activities in block 3, they should not be reported here again)

[4] selected important receipts during the reference month (Rs. in whole number)

[4.1] other receipts during the reference month : all activities

(Rs. in whole number)

(blocks 4 and 4.1 together will give total receipts of the enterprise. If some of the items have already been covered under specific activities in block 4, they should not be reported here again)

[5] calculation of gross value added for the reference month (Rs. in whole number)

[6] employment particulars of the enterprise during the reference month

[7] compensation to workers during the reference month

[8] fixed assets owned and hired

[9] loans outstanding as on the date of survey

(only loans taken for enterprise to be considered)

[10] particulars of field operation

Blocks 3 and 4 are the main blocks of this schedule. Block 3 is meant for recording the information relating to availability of some facilities to the villagers. Block 4 is for recording the information relating to distance of specified facilities from the centre of the sample village.

Blocks 0 & 1 are meant for recording the identification particulars of the sample village. Block 2, 5 and 6 are used for recording the particulars relating to field operations, Remarks of the investigators and those of the supervisory officer(s) respectively.

## Data Collection

### Data Collection Dates

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Start	End	Cycle
2006-07-01	2007-06-30	N/A

### Time Periods

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Start	End	Cycle
2006-07-01		Sub round -1
2006-10-01		Sub round -2
2007-01-01		Sub round -3
2007-04-01		Sub round -4

### Data Collection Mode

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Face-to-face [f2f]

### Data Collection Notes

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Period of survey and work programme: The survey period of this round was divided into four sub-rounds of three months duration.

### Questionnaires

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Schedule 3.1 consists of the following 7 blocks:

- [0] descriptive identification of sample enterprise
- [1] identification of sample enterprise / establishment
- [2] particulars of operation and background information
- [3] selected important operating expenses during the reference month (Rs. in whole number)

other operating expenses during the reference month: all activities  
(Rs. in whole number)

(blocks 3 and 3.1 together will give total expenses of the enterprise. If some of the items have already been covered under specific activities in block 3, they should not be reported here again)

- [4] selected important receipts during the reference month (Rs. in whole number)

[4.1] other receipts during the reference month : all activities  
(Rs. in whole number)

(blocks 4 and 4.1 together will give total receipts of the enterprise. If some of the items have already been covered under specific activities in block 4, they should not be reported here again)

- [5] calculation of gross value added for the reference month (Rs. in whole number)

- [6] employment particulars of the enterprise during the reference month

- [7] compensation to workers during the reference month

- [8] fixed assets owned and hired

- [9] loans outstanding as on the date of survey

(only loans taken for enterprise to be considered)

- [10] particulars of field operation

Blocks 3 and 4 are the main blocks of this schedule. Block 3 is meant for recording the information relating to availability of some facilities to the villagers. Block 4 is for recording the information relating to distance of specified facilities from the centre of the sample village.

Blocks 0 & 1 are meant for recording the identification particulars of the sample village. Block 2, 5 and 6 are used for recording the particulars relating to field operations, Remarks of the investigators and those of the supervisory officer(s) respectively.

## Data Collectors

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Name	Abbreviation	Affiliation
Field Office Division (NSSO)	FOD (NSSO)	Ministry of Statistics and PI, Govt. of India

## Supervision

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Field Office Division of NSSO, Ministry of Statistics and PI

# Data Processing

## Data Editing

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Data was collected as per the Questionnaire 2.3453. But for processing purposes, two flat files were created using the identification particulars from Block 1 and 2. Other data for these two data sets have been derived from Block 3 to 10. Data editing, scrutiny and validation were carried out as per the scrutiny checks and corrected manually.

## Other Processing

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NSS 57th Round : Sch. 2.345

File name Record length No. of records Remarks

WHCC201R TXT 299 1,38,145 work file RURAL

WHCC201U TXT 299 2,23,631 ---do--- URBAN

WHCC202R TXT 631 1,38,145 ---do--- RURAL

WHCC202U TXT 631 2,23,631 ---do---- URBAN

Note: In the metadata data sets for Rural and Urban have been combined.

Note : (1) f.s.u. serial numbers assigned false numbers to disable identity of units.

(2) files are in ASCII format and they are flat / line sequential.

Data contained in different blocks of schedule 2.345 for each enterprise (SSU) are organized in two separate work files as described above.

These work files ( whcc201 & whcc202 ) are enterprise level files, which means there will be only one record for each enterprise. Enterprise level different characteristics are available in these work files along with identification particulars.

### General

Each record contains sub-sample code both in byte positions 11 & 23. For sub-sample wise tabulation, code given in position 23 is to be used. For getting count of sample number of enterprises for any parameter take count of only those records where sub-sample codes available in two places match.

### Use of Multipliers

For generating sub-sample wise estimates

Actual multiplier = reported multiplier / 100.

For generating sub-sample combined estimates

Actual multiplier = reported multiplier / 100 if NSC = NSS

reported multiplier / 200 if NSC > NSS

where NSS and NSC are sub-sample wise and combined Ns counts respectively.

## Data Appraisal

### **Other forms of Data Appraisal**

Comparison of results with earlier survey for Unorganised service sector.

# File Description

# Variable List

**Bk\_1\_11\_12**

Content	<p>Block 1: Identification of Sample Enterprise. Block 1 has 21 items. Most of the items of this block are coded. The codes are described either beside the items or at the bottom of the block. Items 6 to 16 of this block are not applicable for the enterprises surveyed through the list frame. Block 11: Particulars of field operation Items in this block are self-explanatory. While filling up the schedules, care is to be taken to record the time taken in canvassing the schedule in minutes (and not in hours) against item 1102 of this block. Dates of survey/ inspection, etc. should be made as DD/MM/YY since these will be required in data processing. Block 12: Remarks by investigator and comments by supervisor(s) Block 12 (and 13) are meant for noting down the field-worker's remarks/ supervisor's comments/ suggestions regarding the entries made in Schedule 2.345. Their observations will be very important for understanding various entries in the schedule, particularly the abnormally high or low figures (e.g., value added) and also helps in understanding the field situation and accordingly improve the system of data collection and processing in future. Separate boxes have been provided in block 12 first to ascertain whether gross value added figure arrived at after canvassing the schedule is negative or not. If it is negative, appropriate code against item 1202 has to be given corresponding to reason of negative entry. Codes for this item are: - output during reference period much less than operating expenses-1, - new unit with insufficient output-2, - loss of output due to fire, theft, etc-3, - high previous year expenses accounted in present year(for books of accounts only)-4, - others (specify in detail giving the actual reason)-9</p> <p>Wherever data is recorded from books of accounts, the respondent enterprise /company may be requested to provide a copy of the same. If they oblige, the books of accounts may invariably be attached with the schedule. This helps to scrutinise the schedules, particularly during data processing and subsequent stages of tabulation. If data is recorded from books of account then entry in item 1203 will be '1' .Otherwise entry will be '2'.If entry in item 1203 is '1' and balance sheet is attached with the schedule then item 1204 will contain '1'. Otherwise the code will be '2' and in that case reason for not attaching the schedule will be recorded in the blank space after item 1204.</p>
Cases	190282
Variable(s)	38
Structure	Type: relational Keys: ID(ID Number (Primary Key))
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V39	ID	ID Number (Primary Key)	discrete	character	
V38	state_cd	State	discrete	character	
V1	B1_v00	Centre code, Round, Shift	discrete	character	
V2	B1_v01	LOT/FSU Number	discrete	character	
V3	B1_v02	Frame of the Survey	discrete	character	
V4	B1_v03	Round Number	discrete	character	
V5	B1_v04	Schedule Number	discrete	character	
V6	B1_v05	Sample	discrete	character	
V7	B1_v06	Sector	discrete	character	
V8	B1_v07	State-Region	discrete	character	
V9	B1_v08	District	discrete	character	
V10	B1_v09	Stratum	discrete	character	
V11	B1_v10	Sub-Stratum	discrete	character	
V12	B1_v11	Sub-Round	discrete	character	

ID	Name	Label	Type	Format	Question
V13	B1_v12	Sub-Sample	discrete	character	
V14	B1_v13	FOD Sub-Region	discrete	character	
V15	B1_v14	Segment	discrete	character	
V16	B1_v15	SSS	discrete	character	
V17	B1_v16	Enterprise Number	discrete	character	
V18	Level	Level	discrete	character	
V19	Filler	Filter	discrete	character	
V20	B1_v17	Informant Code	discrete	numeric	
V21	B1_v18	Response Code	discrete	numeric	
V22	B1_v19	Survey code	discrete	numeric	
V23	B1_v20	Substitution Code	discrete	numeric	
V24	B1_v21	Permanent serial no.	discrete	numeric	
V25	B1_v1102	Time to canvass(mins.)	contin	numeric	
V26	B1_v1103i	Date of Survey	discrete	character	
V27	B1_v1106iv	Date of Despatch	discrete	character	
V28	B1_v1201	Is entry negative in items 459 or 659	discrete	numeric	
V29	B1_v1202	Reason	discrete	numeric	
V30	B1_v1203	Data collected from balance sheet?	discrete	numeric	
V31	B1_v1204	Balance sheet attached?	discrete	numeric	
V32	B1_v1205	Balance sheet attached?	discrete	numeric	
V34	NS_CNT	NSS Count	contin	numeric	
V35	NSC_CNT	NSC Count	contin	numeric	
V36	MULT	Multiplier	contin	numeric	
V37	HHWT	Weighting coefficient	contin	numeric	

**bk\_2\_1**

Content	Some operational characteristics and background information of the enterprises. In this block some background information about the nature and operation of the enterprises and the exact reference month/ year of data collection will be recorded. Care may be taken so that invalid codes are not entered, particularly in case of codes pertaining to NIC. The item numbers in this block have been provided after the item description to facilitate data entry. Care may be taken so as to maintain clear gap between the item numbers printed in the schedule and the codes recorded by the field staff.
Cases	190282
Variable(s)	60
Structure	Type: relational Keys: ID(ID Number)
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V101	ID	ID Number	discrete	character	
V100	STATE_CD	State	discrete	character	
V40	B1_v00	Centre code, Round, Shift	discrete	character	
V41	B1_v01	LOT/FSU Number	discrete	character	
V42	B1_v02	Frame of the Survey	discrete	character	
V43	B1_v03	Round Number	discrete	character	
V44	B1_v04	Schedule Number	discrete	character	
V45	B1_v05	Sample	discrete	character	
V46	B1_v06	Sector	discrete	character	
V47	B1_v07	State-Region	discrete	character	
V48	B1_v08	District	discrete	character	
V49	B1_v09	Stratum	discrete	character	
V50	B1_v10	Sub-Stratum	discrete	character	
V51	B1_v11	Sub-Round	discrete	character	
V52	B1_v12	Sub-Sample	discrete	character	
V53	B1_v13	FOD Sub-Region	discrete	character	
V54	B1_v14	Segment	discrete	character	
V55	B1_v15	SSS	discrete	character	
V56	B1_v16	Enterprise Number	discrete	character	
V57	Level	Level	discrete	character	
V58	Filler_1	Filler	discrete	character	
V59	B2_201	Was pursuing mixed activity?	discrete	numeric	
V60	B2_202	NIC Code 2004-Major Activity	contin	numeric	
V61	B2_203	NIC Code 2004-Minor Activity	contin	numeric	

ID	Name	Label	Type	Format	Question
V62	B2_204	Nature of operation	discrete	numeric	
V63	B2_205	No. of months operated-ref year	discrete	numeric	
V64	B2_206	No. of hours worked-ref. year	contin	numeric	
V65	B2_207	No. of hours worked-ref. month	contin	numeric	
V66	B2_208	Type of ownership	discrete	numeric	
V67	B2_209	Undertook any other economic activity?	discrete	numeric	
V68	B2_210	Present Ent. major source of income?	discrete	numeric	
V69	B2_211	% of income of working owner from Ent?'	contin	numeric	
V70	B2_212	Industry section NIC 04- most imp. activity	discrete	character	
V71	B2_213	General education level of working owner	discrete	numeric	
V72	B2_214	Social group of working owner	discrete	numeric	
V73	B2_215	Total no. of EFPs	contin	numeric	
V74	B2_216	Total no. of EFPs with same activity	contin	numeric	
V75	B2_217	Whether accounts maintained?	discrete	numeric	
V76	B2_218	Data collected - from("ddmmyy")	contin	numeric	
V77	B2_219	Data collected - to("ddmmyy")	contin	numeric	
V78	B2_220	Location of enterprise	discrete	numeric	
V79	B2_221	Whether private non-profit institution?	discrete	numeric	
V80	B2_222	Nature of problems faced 1	discrete	numeric	
V81	B2_223	Nature of problems faced 2	discrete	numeric	
V82	B2_224	Undertook any work on contract basis?	discrete	numeric	
V83	B2_225	Major destination agency for sale of output	discrete	numeric	
V84	B2_226	Type of assistance during last five years-1	discrete	numeric	
V85	B2_227	Type of assistance during last five years-2	discrete	numeric	
V86	B2_228	Ent. Type during the ref. year	discrete	numeric	
V87	B2_229	Whether regd. under any act	discrete	numeric	
V88	B2_230	Whether registered under companies act?	discrete	numeric	
V89	B2_231	Having PAN for this enterprise?	discrete	numeric	
V90	B2_232	Having TAN for this enterprise?	discrete	numeric	
V91	B2_233	Whether registered as service tax assessee?	discrete	numeric	
V92	B2_234	Other acts of registration 1	discrete	numeric	
V93	B2_235	Other acts of registration 2	discrete	numeric	
V96	NSS_CNT	NSS Count	contin	numeric	
V97	NSC_CNT	NSC Count	contin	numeric	
V98	MULT	Multiplier	contin	numeric	
V99	HHWGT	Multiplier Weight computed	contin	numeric	

**bk\_2-2**

Content	Details of vehicles operated (owned/hired) during last 30- days/ calendar month This block will be filled up for enterprises who operate vehicles, i.e., all enterprises engaged in land transport activity (NIC 2004 class 6021, 6022 and 6023)., major or minor. It will also be applicable to other enterprises who use the enterprise vehicles for its different operations, like inward/outward transportation of goods/services, companies using own vehicles for transportation of staff, hospitals running its own ambulances, schools running its own buses, sewage and refuse disposal enterprises running its vehicles for disposal of garbage, etc. The NIC codes given at the top of a set of items in this sub-block indicate the type of vehicle, i.e., whether it is motor or non-motor and the purpose, i.e., whether it is mainly for passenger (human) or freight (non-human). Different columns of this block are as below- Col 1: Corresponds to type of vehicle, e.g., bus, taxi, etc. Col3: Total number of vehicles of a particular type Col 4: This column will have the count of vehicles, which are less than 5 years old. Col 5: This column will have the count of vehicles, which are 5 to 9 years old. Col 6: Number of vehicles of age more than or equal to 10 years will be entered in this column. Col 7: Payload capacity (authorised carrying capacity) of all the vehicles of a particular type will be entered in this column. The capacity should be entered in tonnes. The nearest whole number should be taken for this purpose. Col 8: Total distance covered (in whole number of km) of all the vehicles of a particular type during reference month will be entered in this column. Reference month for this item (for all types of enterprises) will be last 30 days or last calendar month, whichever suits the informant. Age of the vehicle (in col 3-5) should be recorded in completed number of years. In case of second-hand vehicles, entire period after first purchase will be considered.
Cases	44084
Variable(s)	32
Structure	Type: relational Keys: ID(ID Number), B22_v2(Item Code)
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V136	ID	ID Number	discrete	character	
V135	STATE_CD	State	discrete	character	
V102	B1_v00	Centre code,Round,Shift	discrete	character	
V103	B1_v01	LOT/FSU Number	discrete	character	
V104	B1_v02	Frame of the Survey	discrete	character	
V105	B1_v03	Round Number	discrete	character	
V106	B1_v04	Schedule Number	discrete	character	
V107	B1_v05	Sample	discrete	character	
V108	B1_v06	Sector	discrete	character	
V109	B1_v07	State-Region	discrete	character	
V110	B1_v08	District	discrete	character	
V111	B1_v09	Stratum	discrete	character	
V112	B1_v10	Sub-Stratum	discrete	character	
V113	B1_v11	Sub-Round	discrete	character	
V114	B1_v12	Sub-Sample	discrete	character	
V115	B1_v13	FOD Sub-Region	discrete	character	
V116	B1_v14	Segment	discrete	character	

<b>ID</b>	<b>Name</b>	<b>Label</b>	<b>Type</b>	<b>Format</b>	<b>Question</b>
V117	B1_v15	SSS	discrete	character	
V118	B1_v16	Enterprise Number	discrete	character	
V119	Level	Level	discrete	character	
V120	Filler_1	Filler	discrete	character	
V121	B22_v2	Item Code	discrete	character	
V122	B22_v3	No. of vehicles - Total	contin	numeric	
V123	B22_v4	No. of vehicles - less than 5 years'	contin	numeric	
V124	B22_v5	No. of vehicles - 5 - 9 years'	contin	numeric	
V125	B22_v6	No. of vehicles - 10 years or more'	contin	numeric	
V126	B22_v7	Payload capacity(in tonnes, whole no.)'	contin	numeric	
V127	B22_v8	Total distance covered(in km)	contin	numeric	
V130	NS_CNT	NSS Count	contin	numeric	
V131	NSC_CNT	NSC Count	contin	numeric	
V133	MULT	MULTIPLIER	contin	numeric	
V134	HHWGT	Weighting Coefficient	contin	numeric	

**bk\_2-3**

Content	Loan transaction of Self-Help Group / Co-operative Credit Society This block will be filled up only for enterprises belonging to NIC 2004 sub-class 65994 and 65995. Also note that each of the items is for recording number of members according to some criterion as on the date of survey.
Cases	47881
Variable(s)	27
Structure	Type: relational Keys: ID(ID Number), B23_v2(Item Code)
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V165	ID	ID Number	discrete	character	
V164	STATE_CD	State	discrete	character	
V137	B1_v00	Centre code,Round,Shift	discrete	character	
V138	B1_v01	LOT/FSU Number	discrete	character	
V139	B1_v02	Frame of the Survey	discrete	character	
V140	B1_v03	Round Number	discrete	character	
V141	B1_v04	Schedule Number	discrete	character	
V142	B1_v05	Sample	discrete	character	
V143	B1_v06	Sector	discrete	character	
V144	B1_v07	State-Region	discrete	character	
V145	B1_v08	District	discrete	character	
V146	B1_v09	Stratum	discrete	character	
V147	B1_v10	Sub-Stratum	discrete	character	
V148	B1_v11	Sub-Round	discrete	character	
V149	B1_v12	Sub-Sample	discrete	character	
V150	B1_v13	FOD Sub-Region	discrete	character	
V151	B1_v14	Segment	discrete	character	
V152	B1_v15	SSS	discrete	character	
V153	B1_v16	Enterprise Number	discrete	character	
V154	Level	Level	discrete	character	
V155	Filler1	Filler	discrete	character	
V156	B23_v2	Item Code	discrete	character	
V157	B23_v3	No. of members as on date of survey'	contin	numeric	
V160	NS_CNT	NSS Count	contin	numeric	
V161	NSC_CNT	NSC Count	contin	numeric	
V162	MULT	Multiplier	contin	numeric	

<b>ID</b>	<b>Name</b>	<b>Label</b>	<b>Type</b>	<b>Format</b>	<b>Question</b>
V163	HHWGT	Weighting Coefficient	contin	numeric	

**bk\_3\_3-1**

## Content

Blocks 3 and 3.1: Principal and other operating expenses Block 3: Selected important operating expenses during the reference month Block 3.1: Other operating expenses during the reference period. This block will contain the overall expenses of the enterprise. If some of the items have already appeared in block 3, care should be taken so that they are not repeated in this block. Entire expenditure of enterprises engaged in other community, social and personal services (NIC 04 divisions 90 to 93) will be reported in this block. - It must be noted that items will first be filled in block 3 and only those not covered in that block, will be filled in block 3.1, i.e., block 3 will get priority over block 3.1. - Fines and penalties (for example payments for late submission of returns), loss due to chits and funded accounts, which are not part of intermediate consumption, will not be considered. Blocks 3 and 3.1 are the two blocks where operating expenses of the enterprises will be collected. The principal operating expenses will be covered in block 3 and operating expenses not included in block 3 will be covered under block 3.1. The reference period for the blocks will be reference year if information is recorded from the books of accounts, and reference month if information is received orally, as mentioned in paragraph 3.0.2. - If some expenses are made on yearly or half-yearly basis, those are to be properly apportioned for the reference month and recorded. - For items where payments are not yet made, payable amount may be obtained and recorded. Estimated charges may be recorded in such cases. If any of the items of block 3.1 is already covered under block 3, then it should not be repeated in block 3.1. Both these blocks should be filled up in such a way that there is no duplication and omission. Blocks 3 to 5:- Data on receipts and expenditure of all non-financial enterprises will be collected using these blocks. Items 301 to 395 of blocks 3 and 3.1 and item 502 of block 5 are for collecting data on various items of intermediate consumption of an enterprise. Items 401 to 487 of blocks 4 and 4.1 will record the receipts due to entrepreneurial activities. Block 5 will be used to record other items of expenses and receipts of these enterprises. Care may be taken so that there is no omission or duplication of entries on expenses between blocks 3, 3.1 and items 501 to 514 of block 5. Similarly, omission or duplication of recording receipts may be avoided between blocks 4, 4.1 and items 531 to 544 of block 5. If the value of the receipts in block 4 is collected at ex-factory price, then distributive expenses will not be recorded in item 453. Gross value added using product approach will be computed using block 4.2. In normal situations, gross value added is found as positive. However, if the gross value added arrived at is negative, remarks as per specified codes, and additional remarks, whenever necessary, must be provided in blocks 12 and 13.

## Cases

1265286

## Variable(s)

27

## Structure

Type: relational  
Keys: ID(ID Number), B3\_v2(Item Code)

## Version

## Producer

NSSO, Ministry of Statistics and PI

## Missing Data

**Variables**

ID	Name	Label	Type	Format	Question
V377	ID	ID Number	discrete	character	
V378	state_cd	State	discrete	character	
V349	B1_v00	Centre code, Round, Shift	discrete	character	
V350	B1_v01	LOT/FSU Number	discrete	character	
V351	B1_v02	Frame of the Survey	discrete	character	
V352	B1_v03	Round Number	discrete	character	
V353	B1_v04	Schedule Number	discrete	character	
V354	B1_v05	Sample	discrete	character	
V355	B1_v06	Sector	discrete	character	
V356	B1_v07	State-Region	discrete	character	
V357	B1_v08	District	discrete	character	

<b>ID</b>	<b>Name</b>	<b>Label</b>	<b>Type</b>	<b>Format</b>	<b>Question</b>
V358	B1_v09	Stratum	discrete	character	
V359	B1_v10	Sub-Stratum	discrete	character	
V360	B1_v11	Sub-Round	discrete	character	
V361	B1_v12	Sub-Sample	discrete	character	
V362	B1_v13	FOD Sub-Region	discrete	character	
V363	B1_v14	Segment	discrete	character	
V364	B1_v15	SSS	discrete	character	
V365	B1_v16	Enterprise Number	discrete	character	
V366	Level	Level	discrete	character	
V367	Filler	Filler	discrete	character	
V379	B3_v2	Item Code	discrete	character	
V369	B3_v3	Value (Rs. in whole no.)	contin	numeric	
V372	NSS_CNT	NSS Count	contin	numeric	
V373	NSC_CNT	NSC Count	contin	numeric	
V375	MULT	Multiplier	contin	numeric	
V376	HHWGT	Weighting Coefficient	contin	numeric	

**bk\_4\_5\_6**

Content

Block 4: Principal receipts Block 4 will record the principal receipts in seven sub-blocks corresponding to which the principal expenses have already been collected in block 3. The items are earmarked activity wise in the sub-blocks against which the selected important receipts will appear. The following general points may first be noted for recording data in blocks 4 and 4.1: i. Reference period for this block will be same as the one used for blocks 3 and 3.1. ii. There will be some commonality in items between blocks 4 and 4.1. Precaution has to be taken that whenever an item has already been covered in block 4, receipts are not duplicated against a similar item in block 4.1. iii. The value of services produced for sale/ use/ free distribution/ home consumption will be recorded in whole number of rupees. iv. The value of the services as charged from the customers will be reported here. It includes excise duty payable or sales tax realised by the enterprise on behalf of the Government as also all the distributive expenses such as, discount or rebate, allowances for returnable cases and other packing or any other drawback allowed to customers, charges for carriage outward (i.e., outward transportation), commission to selling agents, etc. v. Care may be taken that the distributive expenses included in the receipts in block 4 are consistent with those recorded in item 453 of block 4.2. vi. For grants/donations please refer to paragraph 3.0.7. - First sub-block: Hotel and restaurant activities (NIC-04 group 551 and 552): In this sub-block all principal receipts in relation to the hotel and restaurant activity will be recorded. Four items are kept for the purpose. - Second sub-block: transport (NIC-04 group 602, 611, 612, class 6301 and 6303 to 6309) - Third sub-block: storage (NIC-04 class 6302): Item 408 is intended to collect the service charges received by the storage and warehousing enterprises. Godowns used for storing of own produce are not to be considered. No imputation is needed if commodities are stored in one's own warehouse. - Fourth sub-block: communications (NIC-04 group 641 and 642): Total charges receivable from customers (STD/ ISD/ courier/ fax/ internet/ e-mail, etc.) services will be noted against item 411. The receipts for services provided during the reference period will only be recorded by receivable approach. Total receipts means both the payments made to service providers and the commissions. - Fifth sub-block: real estate, renting and business activities (NIC-04 division 70 - 74): Receipts from service provided and brokerage charges are to be recorded against items 412 and 413 respectively. - Sixth sub-block: Educational activities (NIC-04 group 801, 802, 803 and 809): The receipts include earnings from fees (tuition fee, transport fee, laboratory fee, library fee, development fee, etc), and donations/ grant from government as well as individual persons/ organisations. Receipts from students on account of sale of textbooks or uniforms will also be shown. - Seventh sub-block: health and social work (NIC-04 group 851, 852 and 853): Like educational enterprises, here also receipts include earnings from consultation fees, receipts for various services provided, government grants and donations from persons and organizations. Many medical practitioners do not only charge consultation fee, but also makes the purchase of medicines against his prescription from his dispensary obligatory. In such cases, receipt against this prescription will also be shown as receipts of the doctor. In case of hospitals or nursing homes, receipts for service other than that rendered by doctor like OT charge, pathological tests, and expenditure on diet for patients, cabin, ICCU, etc. may be shown against respective items described below. Block 4.1: Other receipts during the reference period This block is applicable to all enterprises. For the specific activities mentioned in the seven sub-blocks of block 4, this block will record the receipts not covered there. But for all other community, social and personal services etc. this block will provide the principal and also the incidental receipts. This block is having two sub-blocks-receipts from manufacturing and trading and other receipts. - First sub-block: Receipts from manufacturing and trading - Second sub-block: Other receipts Block 4.2: Calculation of gross value added for the reference period This block has only three items. The items 451 and 452 are transfer entries from blocks 3, 3.1, 4 and 4.1 and are mostly self-explanatory. Item 453 will be used to record the distributive expenses which include excise duty, sales tax, VAT, commission to selling agents, outward freight and transport charges, etc. of the enterprise. Care may be taken so that the sum of distributive expenses recorded in item 453 is consistent with those incorporated in column 3 of block 4. If values of receipts are collected at ex-factory price, distributive expenses should not be recorded. The value-added figure is obtained against item 459 with proper sign and in whole number of rupees. 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Cases 1690824

Variable(s) 28

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Structure

Type: relational  
Keys: ID(ID Number), B4\_v2(Item Code)

Version

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Producer NSSO, Ministry of Statistics and PI

Missing Data

## Variables

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V223	STATE_CD	State	discrete	character	
V195	B1_v00	Centre code,Round,Shift	discrete	character	
V196	B1_v01	LOT/FSU number	discrete	character	
V197	B1_v02	Frame of the Survey	discrete	character	
V198	B1_v03	Round Number	discrete	character	
V199	B1_v04	Schedule Number	discrete	character	
V200	B1_v05	Sample	discrete	character	
V201	B1_v06	Sector	discrete	character	
V202	B1_v07	State-Region	discrete	character	
V203	B1_v08	District	discrete	character	
V204	B1_v09	Stratum	discrete	character	
V205	B1_v10	Sub-Stratum	discrete	character	
V206	B1_v11	Sub-Round	discrete	character	
V207	B1_v12	Sub-Sample	discrete	character	
V208	B1_v13	FOD Sub-Region	discrete	character	
V209	B1_v14	Segment	discrete	character	
V210	B1_v15	SSS	discrete	character	
V211	B1_v16	Enterprise Number	discrete	character	
V212	Level	Level	discrete	character	
V213	Filler	Filler	discrete	character	
V214	B4_v2	Item Code	discrete	character	
V215	B4_v3	Value(Rs. in whole no.)	contin	numeric	
V216	B4_v4	Sign	discrete	numeric	
V219	NS_CNT	NSS Count	contin	numeric	
V220	NSC_CNT	NSC Count	contin	numeric	
V221	MULT	Multiplier	contin	numeric	
V222	HHWGT	Weighting Coefficient	contin	numeric	

**bk\_7\_8**

Content	<p>Blocks 7 and 8: The employment and emolument related particulars of the enterprise would be recorded here. Block 7: Employment particulars of the enterprise during the reference period Item 701 has to be filled up only for list-frame companies. Item 702 to 716 have to be filled up for the entire company in case of list frame enterprise. For area-frame enterprise, entry should be corresponding to the enterprise under survey. Block 7.1: Branch-wise details on workers and income of companies appearing in the list-frame: This block has to be filled up only for list frame enterprises depending on entry in item 701. If the company has branch i.e. entry in item 701 is '1', this block has to be filled-up. Here, 'branch' means each of the location of the company, be it head office/registered office/sales outlet/service centre, etc. For each branch, information regarding address, total number of employees, income has to be entered in separate rows of this block. If all the rows are exhausted, a new sheet (photocopy of 15th page of schedule 2.345) has to be attached for filling up entire information. The description of the columns of the block is as follows- Col 1: Serial number of the branch Col 2: Name and address of the branch Col3: State code has to be entered according to the location of the enterprise. State codes are given below block 6.1. In case the branch is located outside India code '40' has to be given. Col 4: This column will give whether the branch is in rural area (code 1) or urban area (code 2). Col 5: This column would have the number of worker corresponding to the branch/head office of the enterprise. Col 6: Total receipt or total income of the branch, whichever available, will be recorded here. If total receipt is recorded, the word 'income' at the heading should be struck offban areas (code 2). It is clear that data for the same variable, whichever available for all the branches will have to be recorded here. Total of the entries in column 5 of block 7.1 should be equal to entry in item 719. Blocks 7 to 10 will be canvassed to all the surveyed enterprises, financial or non-financial. Block 7.1 is applicable only for list frame companies. Moreover, for the list frame companies, data in blocks 3 to 5 and 7 to 10 (for nonfinancial companies) / 6 to 10 (for financial companies) will be for the entire company considering all its branches, head offices, registered offices, etc.</p>
Cases	712302
Variable(s)	27
Structure	Type: relational Keys: ID(ID Number), B7_v2(Item code)
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V253	ID	ID Number	discrete	character	
V252	STATE_CD	State	discrete	character	
V225	B1_v00	Centre code, Round, Shift	discrete	character	
V226	B1_v01	LOT/FSU number	discrete	character	
V227	B1_v02	Frame of the Survey	discrete	character	
V228	B1_v03	Round Number	discrete	character	
V229	B1_v04	Schedule Number	discrete	character	
V230	B1_v05	Sample	discrete	character	
V231	B1_v06	Sector	discrete	character	
V232	B1_v07	State-Region	discrete	character	
V233	B1_v08	District	discrete	character	
V234	B1_v09	Stratum	discrete	character	
V235	B1_v10	Sub-Stratum	discrete	character	
V236	B1_v11	Sub-Round	discrete	character	

<b>ID</b>	<b>Name</b>	<b>Label</b>	<b>Type</b>	<b>Format</b>	<b>Question</b>
V237	B1_v12	Sub-Sample	discrete	character	
V238	B1_v13	FOD Sub-Region	discrete	character	
V239	B1_v14	Segment	discrete	character	
V240	B1_v15	SSS	discrete	character	
V241	B1_v16	Enterprise Number	discrete	character	
V242	Level	Level	discrete	character	
V243	Filler	Filler	discrete	character	
V244	B7_v2	Item code	discrete	character	
V245	B7_v3	Code(for item 701)	contin	numeric	
V248	NS_CNT	NSS Count	contin	numeric	
V249	NSC_CNT	NSC Count	contin	numeric	
V250	MULT	Multiplier	contin	numeric	
V251	HHWGT	Weighting Coeficient	contin	numeric	

**bk\_9**

Content	Block 9: Assets of the enterprise Reference period for recording data in different columns of items 901 to 919 are: column 3: Value of assets owned as on first day of the reference year - First date of reference year (to be recorded only for enterprises providing data from its books of accounts) column 4: Value of assets owned as on first day of the reference year - Last date of reference year columns 5 and 6: Additions and deductions to owned fixed assets - Reference Year Column 7: rent payable on hired assets - Same as block 3/6 For items 921 to 923, reference period will be entire reference year. In some balance sheets, assets are also written as 'application of funds'. Hence, while recording from books of accounts, data in block 9 will be recorded from that part of balance sheet that shows the 'assets' or 'application of funds'. It may be noted that the assets are assets of the enterprise. Hence, if owner of a proprietary enterprise has made some investments (like NSC, PPF, etc.) in her/his personal capacity, these will not be considered here. Fixed assets: Fixed assets are assets held for the purpose of producing or providing goods or services and they are not held for resale in the normal course of entrepreneurial activities. These cover all old and new goods that have a normal economic life of more than one year from the date of purchase. Information regarding fixed assets is to be recorded by physical approach, i.e., all fixed assets available in the premises of the enterprise will be considered here. If books of accounts are available, values of assets (as on first and last date of the reference year) may be recorded as per the values available in the books. Otherwise, 'market value', i.e., value that the asset will fetch if sold at present (as on last date of the reference year) condition will be recorded. Fixed assets will include: - 1) assets used for production, transportation, living or other facilities (recreation etc.). Full value of assets taken on hire purchase/ instalment (whether fully paid or not) excluding interest should be considered. 2) assets under construction, i.e. construction of building etc., installation of plant and machinery, preparation of chassis of truck, etc. (transport equipment) may be reported under the relevant items. 3) additions to fixed assets (as distinct from minor repair work) during the reference year are to be included. Fixed assets will not include: - 1) intangible assets like goodwill etc. 2) advance payment for fixed assets not yet received. 3) fixed assets owned but rented out.
Cases	967296
Variable(s)	31
Structure	Type: relational Keys: ID(ID Number), B9_v2(Serial no.)
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V286	ID	ID Number	discrete	character	
V285	STATE_CD	State	discrete	character	
V254	B1_v00	Centre code, Round, Shift	discrete	character	
V255	B1_v01	LOT/FSU number	discrete	character	
V256	B1_v02	Frame of the Survey	discrete	character	
V257	B1_v03	Round Number	discrete	character	
V258	B1_v04	Schedule Number	discrete	character	
V259	B1_v05	Sample	discrete	character	
V260	B1_v06	Sector	discrete	character	
V261	B1_v07	State-Region	discrete	character	
V262	B1_v08	District	discrete	character	
V263	B1_v09	Stratum	discrete	character	
V264	B1_v10	Sub-Stratum	discrete	character	

<b>ID</b>	<b>Name</b>	<b>Label</b>	<b>Type</b>	<b>Format</b>	<b>Question</b>
V265	B1_v11	Sub-Round	discrete	character	
V266	B1_v12	Sub-Sample	discrete	character	
V267	B1_v13	FOD Sub-Region	discrete	character	
V268	B1_v14	Segment	discrete	character	
V269	B1_v15	SSS	discrete	character	
V270	B1_v16	Enterprise Number	discrete	character	
V271	Level	Level	discrete	character	
V272	Filler	Filler	discrete	character	
V273	B9_v2	Serial no.	discrete	character	
V274	B9_v3	Net book value as on 1st day of ref. Period	contin	numeric	
V275	B9_v4	Net book value as on last day of ref. Period	contin	numeric	
V276	B9_v5	Additions during the reference period	contin	numeric	
V277	B9_v6	Deductions during the reference period	contin	numeric	
V278	B9_v7	Rent payable on hired assets	contin	numeric	
V281	NS_CNT	NSS Count	contin	numeric	
V282	NSC_CNT	NSC Count	contin	numeric	
V283	MULT	Multiplier	contin	numeric	
V284	HHWGT	Weighing Coefficient	contin	numeric	

**bk\_10**

Content	Block 10: Financial liabilities Amounts payable by the enterprise are its liabilities. Liabilities include capital deployed by the partners of an enterprise, share capital, reserve and surplus amount noted in the balance sheet, long term loans as well as all the short term commitments for payments like unpaid wages, etc. The reference period for amount of loan outstanding will be the last date of the reference year, while for interest/dividend payable, reference period will be the same as one used in blocks 3/6 as the case may be. All entries in this block will be made in whole number of rupees. In balance sheet of enterprises, the term 'liabilities' and 'sources of funds' are used interchangeably. Hence, while recording data from the balance sheet, entries in block 10 will be recorded only from 'liabilities' or 'sources of funds' side of the balance sheet. The following points may be noted for inclusion/exclusion of an item in this block through oral enquiry: Included are: - loans taken for the purpose of utilising the same in the entrepreneurial activities of an enterprise will be included even if such loans are used for other purposes. - Loans contracted by the enterprise either by cash or kind or both from other agencies. - In case of hire purchase also, loan outstanding on the date of survey, including interest will be considered in block 9 against the corresponding serial number. Excluded are: - loans taken for other purposes but ultimately used in the enterprise, Items 1001 to 1003 are to note the share capital of the enterprises. These items are not applicable for proprietary enterprises. Item 1001 is applicable only for the partnership enterprises. Items 1002 and 1003 are applicable for the co-operative societies, SHG's and companies.
Cases	94771
Variable(s)	28
Structure	Type: relational Keys: ID(ID Number), B10_v2(Serial no.)
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V316	ID	ID Number	discrete	character	
V315	STATE_CD	State	discrete	character	
V287	B1_v00	Centre code, Round, Shift	discrete	character	
V288	B1_v01	LOT/FSU number	discrete	character	
V289	B1_v02	Frame of the Survey	discrete	character	
V290	B1_v03	Round Number	discrete	character	
V291	B1_v04	Schedule Number	discrete	character	
V292	B1_v05	Sample	discrete	character	
V293	B1_v06	Sector	discrete	character	
V294	B1_v07	State-Region	discrete	character	
V295	B1_v08	District	discrete	character	
V296	B1_v09	Stratum	discrete	character	
V297	B1_v10	Sub-Stratum	discrete	character	
V298	B1_v11	Sub-Round	discrete	character	
V299	B1_v12	Sub-Sample	discrete	character	
V300	B1_v13	FOD Sub-Region	discrete	character	
V301	B1_v14	Segment	discrete	character	
V302	B1_v15	SSS	discrete	character	

<b>ID</b>	<b>Name</b>	<b>Label</b>	<b>Type</b>	<b>Format</b>	<b>Question</b>
V303	B1_v16	Enterprise Number	discrete	character	
V304	Level	Level	discrete	character	
V305	Filler	Filler	discrete	character	
V306	B10_v2	Serial no.	discrete	character	
V307	B10_v3	Principal amount outstanding	contin	numeric	
V308	B10_v4	Interest/ dividend payable	contin	numeric	
V311	NS_CNT	NSS Count	contin	numeric	
V312	NSC_CNT	NSC Count	contin	numeric	
V313	MULT	Multiplier	contin	numeric	
V314	HHWGT	Weighting Coefficient	contin	numeric	

**bk\_71**

Content	Applicable for all list frame companies with branches Some instructions to interviewers related to the LIST FRAME COMPANIES: 1. In the list frame, name and address of a little more than 1000 companies will be provided. These companies (or any of its branches) will not be covered in the area frame survey. 2. Since the major source of address of list frame company is from Ministry of Company Affairs, it is possible that the company's registered office is available in the frame-address, while the head office/ corporate office (where books of accounts and data on workers, etc. is actually available) is at another place. In such case, data for the list frame company will be collected from the head office/ corporate office. 3. The data for list frame companies will be for the entire company covering all its branches together. Branchwise data on workers and income will be recorded in block 7.1 for list frame companies only. Data on column 4 of block 7.1 is to be recorded completely. For all the list frame companies, try to record data from the books of accounts and attach a copy of the same with the schedule.
Cases	3521
Variable(s)	30
Structure	Type: relational Keys: ID(ID Number), B1_v04(Schedule Number)
Version	
Producer	NSSO, Ministry of Statistics and PI
Missing Data	

**Variables**

ID	Name	Label	Type	Format	Question
V380	ID	ID Number	discrete	character	
V381	state_cd	State	discrete	character	
V382	B1_v00	Centre code, Round, Shift	discrete	character	
V383	B1_v01	LOT/FSU number	discrete	character	
V384	B1_v02	Frame of the Survey	discrete	character	
V385	B1_v03	Round Number	discrete	character	
V386	B1_v04	Schedule Number	discrete	character	
V387	B1_v05	Sample	discrete	character	
V388	B1_v06	Sector	discrete	character	
V389	B1_v07	State-Region	discrete	character	
V390	B1_v08	District	discrete	numeric	
V391	B1_v09	Stratum	discrete	numeric	
V392	B1_v10	Sub-Stratum	discrete	numeric	
V393	B1_v11	Sub-Round	discrete	numeric	
V394	B1_v12	Sub-Sample	discrete	numeric	
V395	B1_v13	FOD Sub-Region	discrete	numeric	
V396	B1_v14	Segment	discrete	numeric	
V397	B1_v15	SSS	discrete	numeric	
V398	B1_v16	Enterprise Number	discrete	numeric	
V399	Level	Level	discrete	character	
V400	Filler	Filler	discrete	character	

<b>ID</b>	<b>Name</b>	<b>Label</b>	<b>Type</b>	<b>Format</b>	<b>Question</b>
V401	B71_v2	Serial no.	contin	numeric	
V402	B71_v3	State code	contin	numeric	
V403	B71_v4	Sector code	discrete	numeric	
V404	B71_v5	No. of workers	contin	numeric	
V405	B71_v6	Total receipts/ income	contin	numeric	
V406	NS_CNT	NSS Count	contin	numeric	
V407	NSC_CNT	NSC Count	contin	numeric	
V408	Mult	Multiplier	contin	numeric	
V409	HHWGT	Weighting Coefficient	contin	numeric	



## ID Number (Primary Key) (ID)

File: Bk\_1\_11\_12

### Overview

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 9	

## State (state\_cd)

File: Bk\_1\_11\_12

### Overview

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 2	

## Centre code, Round, Shift (B1\_v00)

File: Bk\_1\_11\_12

### Overview

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 3	

## LOT/FSU Number (B1\_v01)

File: Bk\_1\_11\_12

### Overview

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 5	

### Interviewer instructions

Item 1 and Item 2: These items will be copied from the sample list/ list frame. Some service sector enterprises are being surveyed directly from a list. This list is called the list frame. Serial number given for these enterprises appearing in the list frame will be recorded in item 1 and code in item 2 for these enterprises will be '1'. The enterprises selected through the listing schedule (schedule 0.0) are called the enterprises belonging to the area frame.

## Frame of the Survey (B1\_v02)

File: Bk\_1\_11\_12

### Overview

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 1	

### Interviewer instructions

Items 1 and 2: These items will be copied from the sample list/ list frame. Some service sector enterprises are being surveyed directly from a list. This list is called the list frame. Serial number given for these enterprises appearing in the list frame will be recorded in item 1 and code in item 2 for these enterprises will be '1'. The enterprises selected through the listing schedule (schedule 0.0) are called the enterprises belonging to the area frame.

## Round Number (B1\_v03)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 190282  
 Invalid: 0

**Interviewer instructions**

Items 3 and 4 are already printed in the Schedule. For the list frame enterprises, code in Item 5 (sample) will always be '1'.

## Schedule Number (B1\_v04)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 190282  
 Invalid: 0

**Interviewer instructions**

Items 3 and 4 are already printed in the Schedule. For the list frame enterprises, code in Item 5 (sample) will always be '1'.

## Sample (B1\_v05)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 190282  
 Invalid: 0

## Sector (B1\_v06)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 190282  
 Invalid: 0

**Interviewer instructions**

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '- '.

## State-Region (B1\_v07)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 190282  
 Invalid: 0

**Interviewer instructions**

## State-Region (B1\_v07)

File: Bk\_1\_11\_12

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.  
Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## District (B1\_v08)

File: Bk\_1\_11\_12

### Overview

Type: Discrete

Format: character

Width: 2

Valid cases: 189844

Invalid: 0

### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.  
Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## Stratum (B1\_v09)

File: Bk\_1\_11\_12

### Overview

Type: Discrete

Format: character

Width: 2

Valid cases: 189844

Invalid: 0

### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.  
Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## Sub-Stratum (B1\_v10)

File: Bk\_1\_11\_12

### Overview

Type: Discrete

Format: character

Width: 2

Valid cases: 189844

Invalid: 0

### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.  
Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## Sub-Round (B1\_v11)

File: Bk\_1\_11\_12

## Sub-Round (B1\_v11)

File: Bk\_1\_11\_12

### Overview

Type: Discrete

Format: character

Width: 1

Valid cases: 189844

Invalid: 0

### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.  
Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## Sub-Sample (B1\_v12)

File: Bk\_1\_11\_12

### Overview

Type: Discrete

Format: character

Width: 1

Valid cases: 189844

Invalid: 0

### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.  
Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## FOD Sub-Region (B1\_v13)

File: Bk\_1\_11\_12

### Overview

Type: Discrete

Format: character

Width: 4

Valid cases: 189844

Invalid: 0

## Segment (B1\_v14)

File: Bk\_1\_11\_12

### Overview

Type: Discrete

Format: character

Width: 1

Valid cases: 189844

Invalid: 0

### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.  
Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## SSS (B1\_v15)

File: Bk\_1\_11\_12

## SSS (B1\_v15)

### File: Bk\_1\_11\_12

#### Overview

Type: Discrete	Valid cases: 189844
Format: character	Invalid: 0
Width: 1	

#### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.

Items 6 to 15: Entry against items 6 and 13 will be copied from the sample list, while items 14 to 16 will be copied from block 2 (for segment 9) or block 5b (for segments 1 or 2) of the listing schedule.

## Enterprise Number (B1\_v16)

### File: Bk\_1\_11\_12

#### Overview

Type: Discrete	Valid cases: 189844
Format: character	Invalid: 0
Width: 2	

#### Interviewer instructions

Items 6 to 16 are applicable only for the enterprises belonging to the area frame, i.e., enterprises for which code in item 2 is 2. For the list frame companies, entry in each of the items 6 to 16 will be '-'.

Item 16: Sample enterprise no: In case of enterprises selected from segment 1/2/9, sample enterprise number will be copied from the relevant column, which is one of the columns 33 to 40 of block 5b of Schedule 0.0 for segments 1 and 2 and column 12 of block 2 of schedule 0.0 for enterprises belonging to segment 9. Copying 'service enterprise serial number' from any other column of schedule 0.0 should never be done.

## Level (Level)

### File: Bk\_1\_11\_12

#### Overview

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 1	

## Filter (Filler)

### File: Bk\_1\_11\_12

#### Overview

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 5	

## Informant Code (B1\_v17)

### File: Bk\_1\_11\_12

#### Overview

## Informant Code (B1\_v17)

File: Bk\_1\_11\_12

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-9

Valid cases: 190282  
Invalid: 0

## Response Code (B1\_v18)

File: Bk\_1\_11\_12

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-9

Valid cases: 190282  
Invalid: 0

### Interviewer instructions

Item 17: Informant code: This item will give information on the type of informant from whom the data are being collected. The relevant codes are:  
owner / partner - 1,  
manager - 2,  
others working in the enterprise- 9.

## Survey code (B1\_v19)

File: Bk\_1\_11\_12

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-2

Valid cases: 190282  
Invalid: 0  
Minimum: 1  
Maximum: 2  
Mean: 1

### Interviewer instructions

Items 18 and 19 will be filled in after completing the detailed enquiry.

Item 19: Survey code: If the originally selected enterprise is surveyed, code against this item will be '1'. If the originally selected enterprise is substituted and the substitute enterprise is survey code will be '2'. If neither the original nor any substitute could be surveyed, code will be 3. For the enterprises appearing in the list frame, there will not be any substitution. Hence, code 2 will not be applicable for such enterprises.

- Similarly, while doing the survey, it may be found that the company appearing in the list frame has now been split into two or more separate companies or a part or whole has been sold. In such case, combined schedule considering all the enterprises which were under the company during the reference period will be filled in.

- If the code in this item is '3', only blocks 0, 1, 11, 12 and 13 are to be filled in and the word 'CASUALTY' may be written on the top of the Schedule. For list frame casualty companies instead of sending the schedules a list of such companies with some information as per format may be sent to SDRD and DPD. The format will be supplied to all the regional offices. In case of area frame enterprise casualty schedules have to be sent to DPD.

## Substitution Code (B1\_v20)

File: Bk\_1\_11\_12

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-9

Valid cases: 1568  
Invalid: 188714  
Minimum: 1  
Maximum: 9  
Mean: 2.8

## Substitution Code (B1\_v20)

File: Bk\_1\_11\_12

### Interviewer instructions

Item 20: Reason for: casualty (list frame)/ substitution of original enterprise (area frame): As the description suggests, this item will serve a twin purpose. On one hand, reason for casualty for an enterprise belonging to list frame will be recorded here. On the other, if an enterprise of either segment 1 or segment 2 was originally selected but a substitute was ultimately surveyed, the reason for first substitution will be recorded in this item.

If an enterprise belonging to the list frame cannot be surveyed, it will be treated as casualty. Such an enterprise may become 'CASUALTY' due to several reasons. It may be currently under the latest ASI frame, which renders itself outside the survey coverage. The enterprise may even turn out to be a Government or PSU unit.

Alternatively, during the entire reference year, the unit may not be operating at all or was closed due to some reason or other like strike, lockout, etc. These different reasons of the list frame unit becoming a 'CASUALTY' will be captured in this item. Detailed description of the actual reason for code '9' in such enterprise will be written.

The corresponding codes are:

Government/ PSU/ under the coverage of ASI - 1,  
non-operative/closed during the reference year-2, non-traceable - 3,  
in operation during the reference year but data could not be collected - 4,  
enterprise found to be outside survey coverage at detailed enquiry stage-5,  
others(specify) - 9.

In case of area frame enterprise, reason for substitution will be written under the same item, the codes for which are:

informant: busy - 1,  
not available - 2,  
non-cooperative - 3,  
enterprise: found to be outside survey coverage at detailed enquiry stage - 4,  
others (specify) - 9.

## Permanent serial no. (B1\_v21)

File: Bk\_1\_11\_12

### Overview

Type: Discrete	Valid cases: 0
Format: numeric	Invalid: 190282
Width: 1	
Decimals: 0	

### Interviewer instructions

Item 21: PSL no. as per ASI frame: This item will be filled up only for list frame units. For any list frame company, if the survey code is '3' and the unit is appearing in ASI 2004-05 frame then corresponding PSL no. will be entered against this item. Details of this may first be sent to SDRD so that particulars can be re-checked before treating the company as 'casualty'.

## Time to canvass(mins.) (B1\_v1102)

File: Bk\_1\_11\_12

### Overview

Type: Continuous	Valid cases: 189366
Format: numeric	Invalid: 916
Width: 3	Minimum: 0
Decimals: 0	Maximum: 999
Range: 0-999	Mean: 82.8
	Standard deviation: 40.1

## Date of Survey (B1\_v1103i)

File: Bk\_1\_11\_12

## Date of Survey (B1\_v1103i)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete	Valid cases: 190196
Format: character	Invalid: 0
Width: 6	

## Date of Despatch (B1\_v1106iv)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete	Valid cases: 190035
Format: character	Invalid: 0
Width: 6	

## Is entry negative in items 459 or 659 (B1\_v1201)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 2

## Reason (B1\_v1202)

File: Bk\_1\_11\_12

**Overview**

Type: Discrete	Valid cases: 1234
Format: numeric	Invalid: 189048
Width: 1	Minimum: 1
Decimals: 0	Maximum: 9
Range: 1-9	Mean: 5.2

**Interviewer instructions**

Separate boxes have been provided in block 12 first to ascertain whether gross value added figure arrived at after canvassing the schedule is negative or not. If it is negative, appropriate code against item 1202 has to be given corresponding to reason of negative entry. Codes for this item are:  
 output during reference period much less than operating expenses-1,  
 new unit with insufficient output-2,  
 loss of output due to fire, theft, etc-3,  
 high previous year expenses accounted in present year(for books of accounts only)-4,  
 others (specify in detail giving the actual reason)-9

## Data collected from balance sheet? (B1\_v1203)

File: Bk\_1\_11\_12

**Overview**

## Data collected from balance sheet? (B1\_v1203)

File: Bk\_1\_11\_12

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-2

Valid cases: 190281  
Invalid: 1  
Minimum: 1  
Maximum: 2  
Mean: 2

### Interviewer instructions

Wherever data is recorded from books of accounts, the respondent enterprise /company may be requested to provide a copy of the same. If they oblige, the books of accounts may invariably be attached with the schedule. This helps to scrutinise the schedules, particularly during data processing and subsequent stages of tabulation. If data is recorded from books of account then entry in item 1203 will be '1'. Otherwise entry will be '2'. If entry in item 1203 is '1' and balance sheet is attached with the schedule then item 1204 will contain '1'. Otherwise the code will be '2' and in that case reason for not attaching the schedule will be recorded in the blank space after item 1204.

## Balance sheet attached? (B1\_v1204)

File: Bk\_1\_11\_12

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-2

Valid cases: 3788  
Invalid: 186494  
Minimum: 1  
Maximum: 2  
Mean: 1.4

### Interviewer instructions

Wherever data is recorded from books of accounts, the respondent enterprise /company may be requested to provide a copy of the same. If they oblige, the books of accounts may invariably be attached with the schedule. This helps to scrutinise the schedules, particularly during data processing and subsequent stages of tabulation.

If data is recorded from books of account then entry in item 1203 will be '1'. Otherwise entry will be '2'. If entry in item 1203 is '1' and balance sheet is attached with the schedule then item 1204 will contain '1'. Otherwise the code will be '2' and in that case reason for not attaching the schedule will be recorded in the blank space after item 1204.

## Balance sheet attached? (B1\_v1205)

File: Bk\_1\_11\_12

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0

Valid cases: 0  
Invalid: 190282

## NSS Count (NS\_CNT)

File: Bk\_1\_11\_12

### Overview

Type: Continuous  
Format: numeric  
Width: 2  
Decimals: 0  
Range: 1-48

Valid cases: 190282  
Invalid: 0  
Minimum: 1  
Maximum: 48  
Mean: 4.6  
Standard deviation: 7.7

## NSC Count (NSC\_CNT)

File: Bk\_1\_11\_12

**Overview**

Type: Continuous	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 94
Range: 1-94	Mean: 9.1
	Standard deviation: 15.3

## Multiplier (MULT)

File: Bk\_1\_11\_12

**Overview**

Type: Continuous	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 7	Minimum: 1
Decimals: 0	Maximum: 4799900
Range: 1-4799900	Mean: 17239.9
	Standard deviation: 38414.8

## Weighting coefficient (HHWT)

File: Bk\_1\_11\_12

**Overview**

Type: Continuous	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 8	Minimum: 0
Decimals: 2	Maximum: 23999.5
Range: 0.005-23999.5	Mean: 86.8
	Standard deviation: 193.4

## ID Number (ID)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 9	

## State (STATE\_CD)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 2	

## Centre code, Round, Shift (B1\_v00)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 3	

## LOT/FSU Number (B1\_v01)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 5	

## Frame of the Survey (B1\_v02)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 1	

## Round Number (B1\_v03)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 2	

## Schedule Number (B1\_v04)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 190282  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 190282  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 190282  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 190282  
 Invalid: 0

## District (B1\_v08)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 189844  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 189844  
 Invalid: 0

## Sub-Stratum (B1\_v10)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 189844  
 Invalid: 0

## Sub-Round (B1\_v11)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 189844  
 Invalid: 0

## Sub-Sample (B1\_v12)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 189844  
 Invalid: 0

## FOD Sub-Region (B1\_v13)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 189844  
 Invalid: 0

## Segment (B1\_v14)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 189844  
 Invalid: 0

## SSS (B1\_v15)

File: bk\_2\_1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 189844  
 Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 189844
Format: character	Invalid: 0
Width: 2	

## Level (Level)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 1	

## Filler (Filler\_1)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: character	Invalid: 0
Width: 5	

## Was pursuing mixed activity? (B2\_201)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 1	
Decimals: 0	
Range: 1-2	

**Interviewer instructions**

Item 201: Mixed activity: Code will be 1 for mixed activity and 2 otherwise. If the response is 1, care must be taken to fill up item 202-203 (as per instructions in paragraph 3.0.3 above).

## NIC Code 2004-Major Activity (B2\_202)

File: bk\_2\_1

**Overview**

Type: Continuous	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 5	Minimum: 55101
Decimals: 0	Maximum: 93098
Range: 55101-93098	Mean: 70181.9
	Standard deviation: 13141.7

**Interviewer instructions**

## NIC Code 2004-Major Activity (B2\_202)

### File: bk\_2\_1

Item 202: 5-digit code as per NIC-2004 for major activity during reference period: Major activity should be decided following the instruction given in paragraph 3.0.3. The actual description of the entrepreneurial activity (not a copy of the description given in the book of NIC 2004) may be recorded in the space provided in this item. Below the description, NIC code as per the NIC-2004 may be written at 5-digit level. Each box will contain one digit and no box will remain empty.

- 55 Hotel and restaurants
- 60 Land transport (group 601-transport via railways and group 603- air transport excluded)
- 61 Water transport
- 63 Auxiliary and supporting transport activities
- 64 Post and telecommunication
- 65 Financial intermediation except insurance and pension funding (group 651- monetary intermediation excluded)
- 66 Insurance and pension funding except compulsory social security
- 67 Activities auxiliary to financial intermediation
- 70 Real estate activities
- 71 Renting of machinery without operator and of household goods
- 72 Computer and related activities
- 73 Research and development
- 74 Other business activities
- 80 Education (all govt and govt aided schools excluded)
- 85 Health and social activities
- 90 Sewage, refuse disposal, sanitation and other activities
- 91 Activities of membership organisation (organisations under group 919 - activities of trade unions, religious organisation and other membership organisation excluded)
- 92 Recreational, cultural and sporting activities
- 93 Other service activities

## NIC Code 2004-Minor Activity (B2\_203)

### File: bk\_2\_1

#### Overview

Type: Continuous	Valid cases: 6846
Format: numeric	Invalid: 183436
Width: 5	Minimum: 15114
Decimals: 0	Maximum: 93096
Range: 15114-93096	Mean: 56574.7
	Standard deviation: 11741.5

#### Interviewer instructions

Item 203: 5-digit code as per NIC-2004 for minor activity during reference period: If the entry in item 201 is '1', there will be entry in item 203.5-digit code as per NIC-2004 along with description corresponding to the most important minor activity of the enterprise will be entered. Most important minor activity will be decided based on maximum income, turnover and employment among the minor activities.

## Nature of operation (B2\_204)

### File: bk\_2\_1

#### Overview

Type: Discrete	Valid cases: 190200
Format: numeric	Invalid: 82
Width: 1	
Decimals: 0	
Range: 1-3	

#### Interviewer instructions

## Nature of operation (B2\_204)

File: bk\_2\_1

Item 204: Nature of operation: This item intends to capture the seasonality of the enterprise under survey. The guiding factor will be the duration of operation of the enterprise during the reference period. The different types are:

Nature of operation of the enterprise during the reference year / Nature of enterprise / code  
 operated more or less regularly throughout the year / perennial / 1  
 enterprise operated only during particular season (s) of the year / seasonal / 2  
 carried out its activity only occasionally, but total number of days operated was 30 days or more / casual / 3

## No. of months operated-ref year (B2\_205)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 190237
Format: numeric	Invalid: 45
Width: 2	
Decimals: 0	
Range: 1-12	

### Interviewer instructions

Item 205: Number of months operated during the reference year: The number of months should be given in whole number. Here, month means a continuous block of 30 days, including scheduled holidays.

## No. of hours worked-ref. year (B2\_206)

File: bk\_2\_1

### Overview

Type: Continuous	Valid cases: 190114
Format: numeric	Invalid: 168
Width: 2	Minimum: 1
Decimals: 0	Maximum: 24
Range: 1-24	Mean: 8.5
	Standard deviation: 3.3

### Interviewer instructions

Items 206 and 207: Number of hours the enterprise normally worked in a day: Self-explanatory. In these items, normal working hours in a day within the reference month/year will be recorded. In item 206, such working hours may be arrived at by considering all the days during the reference year (for seasonal enterprises, days belonging to operating months only), while for item 207, days within the reference month will be considered to get the required number of hours. If data for the enterprise is recorded from books of accounts, 'month' means the last month of the accounting year.

## No. of hours worked-ref. month (B2\_207)

File: bk\_2\_1

### Overview

Type: Continuous	Valid cases: 189952
Format: numeric	Invalid: 330
Width: 2	Minimum: 1
Decimals: 0	Maximum: 24
Range: 1-24	Mean: 8.4
	Standard deviation: 3.3

### Interviewer instructions

## No. of hours worked-ref. month (B2\_207)

File: bk\_2\_1

Items 206 and 207: Number of hours the enterprise normally worked in a day: Self-explanatory. In these items, normal working hours in a day within the reference month/year will be recorded. In item 206, such working hours may be arrived at by considering all the days during the reference year (for seasonal enterprises, days belonging to operating months only), while for item 207, days within the reference month will be considered to get the required number of hours. If data for the enterprise is recorded from books of accounts, 'month' means the last month of the accounting year.

## Type of ownership (B2\_208)

File: bk\_2\_1

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-9

Valid cases: 190240  
Invalid: 42

### Interviewer instructions

Item 208: Type of ownership: The definitions and corresponding codes are:

Type of ownership / Description - Code

Proprietary

Here, an individual is the sole owner of the enterprise.

Male - 1

Female - 2

Partnership

It means relation between persons who have agreed to share the profits of a business carried on by all or any one of them acting for all.

Persons from the same household - 3

Persons from different households - 4

Co-operative society / self-help group

For definition of SHG, refer to paragraph 3.0.12. Co-operative society is a society formed through the cooperation of a number of persons (members of the society) to benefit the members. The funds are raised by members' contributions/ investments and the members share the profits. The government or government agency can also be a member or shareholder of a registered co-operative society but this fact cannot render the society into a public sector enterprise for the purpose of the present survey. - 5

Limited Company (outside public sector)

'Company' is an enterprise registered under the Companies' Act, 1956. A private company means a company which by its Articles, (a) RESTRICTS the right to transfer its shares, if any, (b) LIMITS the number of its members (not including its employees) to 50, and (c) PROHIBITS any invitation to public to subscribe for any shares or debentures of the company. A limited company means a company where liabilities of the shareholders are limited to the quantum of shares held by them. Public limited company means a company which is not a private limited company

Private limited company - 6

Public limited company - 7

Others

These are the enterprises not falling under any of the above categories (e.g., unlimited companies) - 9

## Undertook any other economic activity? (B2\_209)

File: bk\_2\_1

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-2

Valid cases: 175409  
Invalid: 14873

### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

### Interviewer instructions

## Undertook any other economic activity? (B2\_209)

File: bk\_2\_1

Item 209: Did the working owner (s) undertake any other economic activity during the reference year: If the working owner (s) carried out multiple activities during the reference year, then code in this item will be '1'. If the surveyed enterprise was his (their) only source of income throughout the reference year, code will be 2.

In case of partnership enterprises with code 4 in item 208, working owner will be the major partner. Major partner will be the partner getting maximum share of the profit of the enterprise, or bearing the maximum part of the loss. If all the partners share the profit/ loss of the enterprise equally, the partner taking major decisions will be the major partner. If this also does not relate to a single individual, major partner will be the senior most partner by age. For code 3 in item 208, all the working owners of the enterprise will be considered for entry in item 209.

## Present Ent. major source of income? (B2\_210)

File: bk\_2\_1

### Overview

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-2

Valid cases: 42977  
Invalid: 147305

### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

For working owners who pursued activities other than the one of the present enterprise (entry in item 209 being '1'), items 210 to 212 will also be filled. If code in item 209 is 2, put '-' in each of items 210 to 216.

### Interviewer instructions

Item 210: Is the present enterprise major source of annual income of the working owner(s): Here, the 'owner' will refer to the same person(s) for whom data was collected in item 209. Suppose the owner (or one of the owners) worked as a casual labour in agriculture in addition to the activity of the enterprise during the reference year. If major part of his annual income was derived from this enterprise, then the entry to this item will be '1'. Otherwise it will be '2'.

## % of income of working owner from Ent?' (B2\_211)

File: bk\_2\_1

### Overview

Type: Continuous  
Format: numeric  
Width: 2  
Decimals: 0  
Range: 1-50

Valid cases: 27662  
Invalid: 162620  
Minimum: 1  
Maximum: 50  
Mean: 22.4  
Standard deviation: 13

### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

For working owners who pursued activities other than the one of the present enterprise (entry in item 209 being '1'), items 210 to 212 will also be filled. If code in item 209 is 2, put '-' in each of items 210 to 216.

If major income of the owner(s) is not derived from the surveyed enterprise (code in item 210 being 2), items 211 to 212 will also be filled.

### Interviewer instructions

## % of income of working owner from Ent?' (B2\_211)

### File: bk\_2\_1

Items 211 and 212: % of annual income of the working owner(s) derived from the enterprise under survey and most important other activity: Entry '2' in item 210 means that the owner derives a minor part of his annual income from the surveyed enterprise. This part of annual income of the owner(s) as a percentage (in whole number) of his (their) total annual income will be recorded in item 211. Hence, entry in item 211 will always be 50 or less. Among other activities, the description of the activity from which the owner derived maximum annual income and corresponding industry-section as per NIC 2004 will be recorded in item 212. For example, suppose the owner derived an income of Rs.3988 from production of rice, Rs.1200 from a tea stall and Rs.4812 from the service enterprise during the reference year. Then, entry in item 211 will be 48 and the entry in item 212 will be 'A'.

The different industry sections of NIC 2004 to be considered here are:

NIC '04 section / Description of activity (as per NIC 2004 book)

A / Agriculture, Hunting and Forestry

B / Fishing

C / Mining and Quarrying

D / Manufacturing

E / Electricity, Gas and Water Supply

F / Construction

G / Wholesale and Retail Trade; Repair of Motor Vehicles, Motorcycles and Personal and Household Goods

H / Hotels and Restaurants

I / Transport , Storage and Communications

J / Financial Intermediation

K / Real Estate, Renting and Business Activities

L / Public Administration and Defence; Compulsory Social Security

M / Education

N / Health and Social Work

O / Other Community, Social and Personal Service Activities

P / Activities of Private Households as employers and undifferentiated production activities of private households the enterprise will be considered as a partnership enterprise. belonging to segment 9 enterprises.

Q / Extraterritorial organisations and bodies

If the major source of annual 'income' (used loosely here, including receipts from non-economic activities) of the owner is non-economic activity like pension, remittances like bank interest, etc. then code 'X' will be given against item 212.

## Industry section NIC 04- most imp. activity (B2\_212)

### File: bk\_2\_1

#### Overview

Type: Discrete

Format: character

Width: 1

#### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

For working owners who pursued activities other than the one of the present enterprise (entry in item 209 being '1'), items 210 to 212 will also be filled. If code in item 209 is 2, put '-' in each of items 210 to 216.

If major income of the owner(s) is not derived from the surveyed enterprise (code in item 210 being 2), items 211 to 212 will also be filled.

#### Interviewer instructions

## Industry section NIC 04- most imp. activity (B2\_212)

### File: bk\_2\_1

Items 211 and 212: % of annual income of the working owner(s) derived from the enterprise under survey and most important other activity: Entry '2' in item 210 means that the owner derives a minor part of his annual income from the surveyed enterprise. This part of annual income of the owner(s) as a percentage (in whole number) of his (their) total annual income will be recorded in item 211. Hence, entry in item 211 will always be 50 or less. Among other activities, the description of the activity from which the owner derived maximum annual income and corresponding industry-section as per NIC 2004 will be recorded in item 212. For example, suppose the owner derived an income of Rs.3988 from production of rice, Rs.1200 from a tea stall and Rs.4812 from the service enterprise during the reference year. Then, entry in item 211 will be 48 and the entry in item 212 will be 'A'.

The different industry sections of NIC 2004 to be considered here are:

NIC '04 section / Description of activity (as per NIC 2004 book)

A / Agriculture, Hunting and Forestry

B / Fishing

C / Mining and Quarrying

D / Manufacturing

E / Electricity, Gas and Water Supply

F / Construction

G / Wholesale and Retail Trade; Repair of Motor Vehicles, Motorcycles and Personal and Household Goods

H / Hotels and Restaurants

I / Transport , Storage and Communications

J / Financial Intermediation

K / Real Estate, Renting and Business Activities

L / Public Administration and Defence; Compulsory Social Security

M / Education

N / Health and Social Work

O / Other Community, Social and Personal Service Activities

P / Activities of Private Households as employers and undifferentiated production activities of private households the enterprise will be considered as a partnership enterprise. belonging to segment

Q / Extraterritorial organisations and bodies

If the major source of annual 'income' (used loosely here, including receipts from non-economic activities) of the owner is non-economic activity like pension, remittances like bank interest, etc. then code 'X' will be given against item 212.

## General education level of working owner (B2\_213)

### File: bk\_2\_1

#### Overview

Type: Discrete	Valid cases: 174938
Format: numeric	Invalid: 15344
Width: 2	Minimum: 1
Decimals: 0	Maximum: 11
Range: 1-11	Mean: 5.6

#### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

#### Interviewer instructions

Item 213: General educational level of the working owner: For the proprietary or partnership enterprises, the educational qualification of the owner should be entered in codes. The codes are: not literate - 01, literate without formal schooling - 02, literate but below primary - 03, primary - 04, middle - 05, secondary - 06, higher secondary - 07, diploma/ certificate course - 08, graduate - 10, post-graduate or above -11

## Social group of working owner (B2\_214)

### File: bk\_2\_1

#### Overview

## Social group of working owner (B2\_214)

File: bk\_2\_1

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-9

Valid cases: 175023  
Invalid: 15259  
Minimum: 1  
Maximum: 9  
Mean: 5.3

### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

### Interviewer instructions

Item 214: Social group of the working owner: For the proprietary or partnership enterprises, the social group of the working owner should be entered through following codes:

ST-1, SC - 2, OBC - 3, others - 9

For items 213 and 214, 'working owner' in case of partnership enterprises will be the major partner, major being

For all partnership enterprises, 'working owner' will be the major working owner, the criterion for determining 'major' will be the partner getting maximum share of the profit of the enterprise, or bearing the maximum part of the loss.

If all the partners share the profit/ loss of the enterprise equally, the partner taking major decisions will be the major partner. If this also does not relate to a single individual, major partner will be the senior most partner by age.

## Total no. of EFPs (B2\_215)

File: bk\_2\_1

### Overview

Type: Continuous  
Format: numeric  
Width: 3  
Decimals: 0  
Range: 1-400

Valid cases: 175409  
Invalid: 14873  
Minimum: 1  
Maximum: 400  
Mean: 1.1  
Standard deviation: 1.3

### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

### Interviewer instructions

Item 215: Total no. of EFPs of the working owner (EFP: enterprises with fixed premises): If the working owner runs the enterprise from different fixed premises then the total number of such fixed premises has to be entered against this item. e.g. if a person is running a telephone booth and a restaurant listed as two different enterprises with fixed premises, or a doctor is sitting in two different chambers, then entry in this item will be 2.

## Total no. of EFPs with same activity (B2\_216)

File: bk\_2\_1

### Overview

Type: Continuous  
Format: numeric  
Width: 3  
Decimals: 0  
Range: 1-400

Valid cases: 175409  
Invalid: 14873  
Minimum: 1  
Maximum: 400  
Mean: 1  
Standard deviation: 1.2

### Universe

Items 209 to 216 will be recorded if the enterprise is of proprietary or partnership one, i.e., if code in item 208 is any one of the codes 1 to 4. For other enterprises, put '-' in each of items 209 to 216.

### Interviewer instructions

## Total no. of EFPs with same activity (B2\_216)

File: bk\_2\_1

Item 216: Total no. of EFPs of the working owner with same activity (same as item 202 at 2-digit level): If the owner runs separate enterprises with same activity (same at 2-digit NIC level with respect to the activity of the surveyed enterprise) then the total number of enterprises will be entered against this item. For example, if a doctor practices at 4 clinics or maintains 4 different chambers, then as per current listing instruction, it is 4 different EFPs (enterprises with fixed premises) and NIC code at 2-digit level of all of them is 85,t to the activity of the surveyed enterpriserming major try in i. Here, entry in item 216 will be 4.

It may be noted that if a person carries out her/his activities without fixed premises (like street vendor, mobile market, etc.) then the activity is listed at her/his residence and during detailed enquiry, data is collected from the person considering his entire activity in all the locations. For such enterprises, entry in items 215 and 216 will be 1. On the other hand, persons like doctors, lawyers, CA's, etc. carrying out sophisticated activities do them from more than one fixed premises, each of which is listed as a separate enterprise, resulting in over-counting of workers. Items 215 and 216 are aimed to check this over-counting.

## Whether accounts maintained? (B2\_217)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 190267
Format: numeric	Invalid: 15
Width: 1	
Decimals: 0	
Range: 1-3	

### Interviewer instructions

Item 217: Whether Accounts maintained: Three codes have been provided for this item. If the enterprise maintained books of accounts and information on receipts, expenses, assets, etc. is collected from it, code will be '1'. If the enterprise informs that they maintained books of accounts, but provides financial information orally, code will be '2'. If the enterprise did not maintain any usable books of accounts, then the code will be '3'.

## Data collected - from("ddmmyy") (B2\_218)

File: bk\_2\_1

### Overview

Type: Continuous	Valid cases: 190278
Format: numeric	Invalid: 4
Width: 6	Minimum: 10104
Decimals: 0	Maximum: 311206
Range: 10104-311206	Mean: 171259.9
	Standard deviation: 81552.3

### Interviewer instructions

Item 218 and 219: Data of blocks 3 to 5(non-financial)/6(financial) collected for the period: Please rectify this description in schedule 2.345. From the discussion on the reference period, it is clear that if the enterprise is ready to provide information from their books of accounts, data will be collected from it for the entire accounting year, which, in most of the cases will be one year. On the other hand, if the enterprise provides information orally, data on operating expenses, receipts, employment, emoluments, etc. will be collected for a one-month period prior to the date of survey. The actual period for which information from the enterprise is collected will be recorded here. There are two sets of six cells in these items for recording the first(item 218) and last dates(item 219) of this period in date-month-year (DD-MM-YY) format. For example, if data is collected for 1st April 2005 to 31st March 2006, entry in item 218 will be 010405 and that in item 219 will be 310306. Similarly, if data is collected from 1st November 2006 to 30th November 2006, item 218 will be 011106 and item 219 will be 301106.

If a seasonal enterprise has worked for less than 30 days in the current season, this entry may be given as '- - - - -'. This may, however, be reflected through remarks.

## Data collected - to("ddmmyy") (B2\_219)

File: bk\_2\_1

**Overview**

Type: Continuous	Valid cases: 190278
Format: numeric	Invalid: 4
Width: 6	Minimum: 10107
Decimals: 0	Maximum: 311206
Range: 10107-311206	Mean: 181140.7
	Standard deviation: 76602.5

**Interviewer instructions**

Item 218 and 219: Data of blocks 3 to 5(non-financial)/6(financial) collected for the period: Please rectify this description in schedule 2.345. From the discussion on the reference period, it is clear that if the enterprise is ready to provide information from their books of accounts, data will be collected from it for the entire accounting year, which, in most of the cases will be one year. On the other hand, if the enterprise provides information orally, data on operating expenses, receipts, employment, emoluments, etc. will be collected for a one-month period prior to the date of survey. The actual period for which information from the enterprise is collected will be recorded here. There are two sets of six cells in these items for recording the first(item 218) and last dates(item 219) of this period in date-month-year (DD-MM-YY) format. For example, if data is collected for 1st April 2005 to 31st March 2006, entry in item 218 will be 010405 and that in item 219 will be 310306. Similarly, if data is collected from 1st November 2006 to 30th November 2006, item 218 will be 011106 and item 219 will be 301106.

If a seasonal enterprise has worked for less than 30 days in the current season, this entry may be given as '- - - -'. This may, however, be reflected through remarks.

## Location of enterprise (B2\_220)

File: bk\_2\_1

**Overview**

Type: Discrete	Valid cases: 190153
Format: numeric	Invalid: 129
Width: 1	Minimum: 1
Decimals: 0	Maximum: 6
Range: 1-6	Mean: 2.6

**Interviewer instructions**

Item 220: Location of the enterprise: Six codes have been provided for this item. The codes and some corresponding explanations are:

Within household premises 1

Outside household premises:

- with fixed premises and with permanent structure

Any structure made out of bricks, mud, bamboos etc. will be treated as a permanent structure if it cannot be removed as a whole without dismantling - 2

- with fixed premises and with temporary structure/kiosk/stall

Temporary structures can be removed from its present location with some effort. Some examples are stalls, kiosks, etc. - 3

- with fixed premises but without any structure

Fixed premises but with no structure (except for some makeshift arrangement for shade etc.) - 4

- mobile market

If the enterprise shifts from market to market but the owner (e.g. hat vendor) is allowed to sit in a particular place of the market he is going to, i.e. if the location of the enterprise inside each market is fixed - 5

- without fixed premises (street vendors, etc.) Street vendors - 6

If, both the codes 5 and 6 are applicable for an enterprise, major time criterion will decide the appropriate code

## Whether private non-profit institution? (B2\_221)

File: bk\_2\_1

**Overview**

## Whether private non-profit institution? (B2\_221)

File: bk\_2\_1

Type: Discrete  
Format: numeric  
Width: 1  
Decimals: 0  
Range: 1-2

Valid cases: 190202  
Invalid: 80

### Interviewer instructions

Item 221: Whether private non-profit institute: Non-profit institutions are legal or social entities created for the purpose of producing goods and services whose status does not permit them to be a source of income, profit or other financial gain for the units that establish, control or finance them. In practice, their productive activities are bound to generate either surpluses or deficits but the units that establish, control or finance them cannot appropriate surpluses. If the enterprise under survey satisfies the above-mentioned definition, then entry in this item will be '1'. Otherwise the entry in this item will be '2'.

## Nature of problems faced 1 (B2\_222)

File: bk\_2\_1

### Overview

Type: Discrete  
Format: numeric  
Width: 2  
Decimals: 0  
Range: 1-19

Valid cases: 190178  
Invalid: 104

### Interviewer instructions

Items 222 to 223: Nature of problems faced, if any, during the reference year: Different types of problems faced by the service sector enterprise, during the reference year in their day-to-day operation will be recorded against these items in terms of codes. At most two codes can be entered according to the order maintained in the code list. The codes for problems are:

non-availability of electric connection-01,  
power cut-02,  
shortage of capital-03,  
marketing of products/ services-04,  
local problems/ harassment- 05,  
competition from larger units - 06  
non-availability of labour/ labour problems - 07,  
fuel not available or available at exorbitant prices - 08,  
non-recovery of service charges/ fees/ credit - 10,  
others - 19,  
no specific problem - 11

## Nature of problems faced 2 (B2\_223)

File: bk\_2\_1

### Overview

Type: Discrete  
Format: numeric  
Width: 2  
Decimals: 0  
Range: 1-19

Valid cases: 50418  
Invalid: 139864

### Interviewer instructions

## Nature of problems faced 2 (B2\_223)

### File: bk\_2\_1

Items 222 to 223: Nature of problems faced, if any, during the reference year: Different types of problems faced by the service sector enterprise, during the reference year in their day-to-day operation will be recorded against these items in terms of codes. At most two codes can be entered according to the order maintained in the code list. The codes for problems are:

non-availability of electric connection-01,  
power cut-02,  
shortage of capital-03,  
marketing of products/ services-04,  
local problems/ harassment- 05,  
competition from larger units - 06  
non-availability of labour/ labour problems - 07,  
fuel not available or available at exorbitant prices - 08,  
non-recovery of service charges/ fees/ credit - 10,  
others - 19,  
no specific problem - 11

## Undertook any work on contract basis? (B2\_224)

### File: bk\_2\_1

#### Overview

Type: Discrete	Valid cases: 190148
Format: numeric	Invalid: 134
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 2

#### Interviewer instructions

Item 224: Did the enterprise undertake any work on contract basis: In many cases, the enterprises are observed to be working as per the orders from another unit. This is also called 'job-work'. If the surveyed unit does any amount of work under contract, code against this item will be '1'. Otherwise, code will be '2'.

- A branch enterprise doing its jobs as specified by its head office will not generally be considered as working on contract. However, if the head office got some job on contract from outsiders and got it done through the branches, then the branch will be considered as working on contract.
- Jobs done for households, who are final consumers of the service, will not be considered as a work done on contract, although the households may specify the type of service they want, e.g. barber, beautician, etc.

## Major destination agency for sale of output (B2\_225)

### File: bk\_2\_1

#### Overview

Type: Discrete	Valid cases: 189795
Format: numeric	Invalid: 487
Width: 1	Minimum: 1
Decimals: 0	Maximum: 6
Range: 1-6	Mean: 1.2

#### Interviewer instructions

Items 225: Major destination agency for sale of output: The objective here is to find the nature of backward links of the enterprise with its immediate purchaser of the service.

- In case the number of destination agencies is more than one, the top destination agency may be considered in terms of highest sale for recording the codes. The relevant codes are: Household - 1, Government - 2, resident financial enterprises - 3, resident non-financial enterprises - 4, NPI's - 5, non-resident - 6.

Non-resident will mean all households, government or private enterprises those are not resident within the economic territory of the country.

## Type of assistance during last five years-1 (B2\_226)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 190113
Format: numeric	Invalid: 169
Width: 1	
Decimals: 0	
Range: 1-9	

### Universe

types of assistance received during the last five years (code)  
(at most 2 codes may be given in descending order of the importance of assistance)

### Interviewer instructions

Items 226 and 227: Types of assistance received during the last 5 years (code): The enterprise might have received some assistance at some stage of its operation. It can come either from government or from some non-governmental organization or some private agency/individual. Different codes have been provided to record the same. An enterprise may receive more than one type of assistance mentioned in different codes. Two most important assistances received during the last 5 years are to be reported in codes in descending order of the importance of assistance in items 226 to 227. The codes are:

financial loan (institutional) - 1, financial loan (non-institutional) - 2, subsidy - 3, machinery/ equipment - 4, training - 5, marketing - 6, procurement of raw material - 7, others - 9, no assistance received from any source - 8.

## Type of assistance during last five years-2 (B2\_227)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 4080
Format: numeric	Invalid: 186202
Width: 1	Minimum: 1
Decimals: 0	Maximum: 9
Range: 1-9	Mean: 5

### Universe

types of assistance received during the last five years (code)  
(at most 2 codes may be given in descending order of the importance of assistance)

### Interviewer instructions

Items 226 and 227: Types of assistance received during the last 5 years (code): The enterprise might have received some assistance at some stage of its operation. It can come either from government or from some non-governmental organization or some private agency/individual. Different codes have been provided to record the same. An enterprise may receive more than one type of assistance mentioned in different codes. Two most important assistances received during the last 5 years are to be reported in codes in descending order of the importance of assistance in items 226 to 227. The codes are:

financial loan (institutional) - 1, financial loan (non-institutional) - 2, subsidy - 3, machinery/ equipment - 4, training - 5, marketing - 6, procurement of raw material - 7, others - 9, no assistance received from any source - 8.

## Ent. Type during the ref. year (B2\_228)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 1.2

### Interviewer instructions

## Ent. Type during the ref. year (B2\_228)

File: bk\_2\_1

Item 228: enterprise type during the reference year: The actual enterprise type on the basis of total number of workers and number of hired workers normally worked during the reference year will be recorded here. If the enterprise normally ran without any hired worker during the reference year (irrespective of whether it had hired workers during the reference month or not) it will be considered as an OAE and the code will be '1'. If it normally ran with 1 or more hired workers, it will be considered as establishment and the code will be '2'.

- There is a possibility of finding at this stage that the enterprise type found now differs from the one recorded during the listing stage (e.g., an enterprise is actually an establishment although it was listed as a OAE). In such cases, no change/adjustment in any of the two schedules will be made. This change is not required even if all enterprises of a village/UFS block are surveyed.

## Whether regd. under any act (B2\_229)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 190282
Format: numeric	Invalid: 0
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 1.5

### Interviewer instructions

Items 229 to 235 of block 2.1 may be recorded after filling in blocks 2.2 to 11.

item 229: Registered/ recognised under any act/ authority: If the enterprise is registered under one or more Acts/Authorised agencies, code will be '1'. Otherwise code will be '2'.

## Whether registered under companies act? (B2\_230)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 89052
Format: numeric	Invalid: 101230
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 2

### Universe

If the enterprise is registered/ recognised under any agency (code in item 229 is '1'), items 230 to 235 will also be filled.

### Interviewer instructions

Items 229 to 235 of block 2.1 may be recorded after filling in blocks 2.2 to 11.

Items 230: Whether this enterprise registered under Companies Act, 1956: If the enterprise is registered under Companies Act, 1956, the code against item 231 will be '1'. Otherwise it will be '2'.

## Having PAN for this enterprise? (B2\_231)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 15067
Format: numeric	Invalid: 175215
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 1.8

### Universe

## Having PAN for this enterprise? (B2\_231)

File: bk\_2\_1

If the enterprise is registered/ recognised under any agency (code in item 229 is '1'), items 230 to 235 will also be filled.

If code in item 208 is from '3' to '9', item 231-232 will be filled up. Thus, items 231 and 232 are not applicable for proprietary enterprises.

### Interviewer instructions

Items 229 to 235 of block 2.1 may be recorded after filling in blocks 2.2 to 11.

Item 231: Whether having a PAN for the enterprise: If the enterprise is having a PAN (permanent account number) issued by the Income Tax authorities, the code against this item will be '1'. Otherwise it will be '2'.  
 - e.g. in case of partnership enterprise the fourth digit of the PAN will be 'S'.  
 - If the enterprise does not have a PAN but any partner / director is having a personal PAN (personal PAN has "P" in the fourth digit), it will not be considered for this item.

## Having TAN for this enterprise? (B2\_232)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 15058
Format: numeric	Invalid: 175224
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 1.9

### Universe

If the enterprise is registered/ recognised under any agency (code in item 229 is '1'), items 230 to 235 will also be filled.

If code in item 208 is from '3' to '9', item 231-232 will be filled up. Thus, items 231 and 232 are not applicable for proprietary enterprises.

### Interviewer instructions

Items 229 to 235 of block 2.1 may be recorded after filling in blocks 2.2 to 11.

Item 232: Whether having a TAN for the enterprise: If the enterprise is having a TAN (tax deduction account number) the code against this item will be '1'. Otherwise it will be '2'. TAN is allotted by the income tax authorities to enterprises for deducting income tax (TDS) from the salary of its employees.

## Whether registered as service tax assessee? (B2\_233)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 88483
Format: numeric	Invalid: 101799
Width: 1	Minimum: 1
Decimals: 0	Maximum: 2
Range: 1-2	Mean: 1.9

### Universe

If the enterprise is registered/ recognised under any agency (code in item 229 is '1'), items 230 to 235 will also be filled.

### Interviewer instructions

Items 229 to 235 of block 2.1 may be recorded after filling in blocks 2.2 to 11.

Item 233: Whether this enterprise is registered as a service tax assessee? : If the enterprise is a service tax assessee (a service tax assessee may or may not actually pay service tax during the reference period), the code against this item will be '1'. Otherwise it will be '2'.

## Other acts of registration 1 (B2\_234)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 89073
Format: numeric	Invalid: 101209
Width: 2	Minimum: 1
Decimals: 0	Maximum: 19
Range: 1-19	Mean: 6.7

### Interviewer instructions

Items 229 to 235 of block 2.1 may be recorded after filling in blocks 2.2 to 11.

Items 234 to 235: Other acts / authorities of registration / recognition of this enterprise (code): If the enterprise is registered/recognised by any other act/ authority, codes for a maximum of two registration agencies under which the enterprise is registered is to be noted in items 234 and 235. The codes for various acts / authorities of registration are:

municipal corporation, panchayat, local body - 01

shops and establishments act - 02

sales tax act - 03

motor vehicles act - 04

Indian vessels act / merchant shipping act - 05

RBI/NABARD/IRDA/SEBI - 06

bar council - 07

chartered accountants act - 08

NASSCOM - 10

directorate of education / AICTE /NCTE - 11

medical practitioners act - 12

co-operative societies act- 13

societies act - 14

Indian charitable act - 15

cinematograph act - 16

Factories Act, 1948 - 17

Other (please specify) - 19

- If the enterprise is registered under only one registration agency, then only item 234 is to be filled. If the enterprise is registered under two registration agencies, items 234 and 235 are to be filled.  
- If it is registered with more than two agencies, then item 234 and 235 must be filled up according to the preference given in the above table. The preference of the respondent will not be considered in this case.

## Other acts of registration 2 (B2\_235)

File: bk\_2\_1

### Overview

Type: Discrete	Valid cases: 11845
Format: numeric	Invalid: 178437
Width: 2	Minimum: 0
Decimals: 0	Maximum: 19
Range: 0-19	Mean: 9.2

### Universe

If the enterprise is registered/ recognised under any agency (code in item 229 is '1'), items 230 to 235 will also be filled.

### Interviewer instructions

## Other acts of registration 2 (B2\_235)

### File: bk\_2\_1

Items 229 to 235 of block 2.1 may be recorded after filling in blocks 2.2 to 11.

Items 234 to 235: Other acts / authorities of registration / recognition of this enterprise (code): If the enterprise is registered/recognised by any other act/ authority, codes for a maximum of two registration agencies under which the enterprise is registered is to be noted in items 234 and 235. The codes for various acts / authorities of registration are:

municipal corporation, panchayat, local body - 01

shops and establishments act - 02

sales tax act - 03

motor vehicles act - 04

Indian vessels act / merchant shipping act - 05

RBI/NABARD/IRDA/SEBI - 06

bar council - 07

chartered accountants act - 08

NASSCOM - 10

directorate of education / AICTE /NCTE - 11

medical practitioners act - 12

co-operative societies act- 13

societies act - 14

Indian charitable act - 15

cinematograph act - 16

Factories Act, 1948 - 17

Other (please specify) - 19

- If the enterprise is registered under only one registration agency, then only item 234 is to be filled. If the enterprise is registered under two registration agencies, items 234 and 235 are to be filled.

- If it is registered with more than two agencies, then item 234 and 235 must be filled up according to the preference given in the above table. The preference of the respondent will not be considered in this case.

## NSS Count (NSS\_CNT)

### File: bk\_2\_1

#### Overview

Type: Continuous

Format: numeric

Width: 2

Decimals: 0

Range: 1-48

Valid cases: 190282

Invalid: 0

Minimum: 1

Maximum: 48

Mean: 4.6

Standard deviation: 7.7

## NSC Count (NSC\_CNT)

### File: bk\_2\_1

#### Overview

Type: Continuous

Format: numeric

Width: 2

Decimals: 0

Range: 1-94

Valid cases: 190282

Invalid: 0

Minimum: 1

Maximum: 94

Mean: 9.1

Standard deviation: 15.3

## Multiplier (MULT)

### File: bk\_2\_1

#### Overview

## Multiplier (MULT)

File: bk\_2\_1

Type: Continuous  
Format: numeric  
Width: 7  
Decimals: 0  
Range: 1-4799900

Valid cases: 190282  
Invalid: 0  
Minimum: 1  
Maximum: 4799900  
Mean: 17239.9  
Standard deviation: 38414.8

## Multiplier Weight computed (HHWGT)

File: bk\_2\_1

### Overview

Type: Continuous  
Format: numeric  
Width: 8  
Decimals: 2  
Range: 0.005-23999.5

Valid cases: 190282  
Invalid: 0  
Minimum: 0  
Maximum: 23999.5  
Mean: 86.8  
Standard deviation: 193.4

## ID Number (ID)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 9

Valid cases: 44084  
 Invalid: 0

## State (STATE\_CD)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 44084  
 Invalid: 0

## Centre code, Round, Shift (B1\_v00)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 44084  
 Invalid: 0

## LOT/FSU Number (B1\_v01)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 5

Valid cases: 44084  
 Invalid: 0

## Frame of the Survey (B1\_v02)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 44084  
 Invalid: 0

## Round Number (B1\_v03)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 44084  
 Invalid: 0

## Schedule Number (B1\_v04)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 44084  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 44084  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 44084  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 44084  
 Invalid: 0

## District (B1\_v08)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 43748  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 43748  
 Invalid: 0

## Sub-Stratum (B1\_v10)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 43748  
 Invalid: 0

## Sub-Round (B1\_v11)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 43748  
 Invalid: 0

## Sub-Sample (B1\_v12)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 43748  
 Invalid: 0

## FOD Sub-Region (B1\_v13)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 43748  
 Invalid: 0

## Segment (B1\_v14)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 43748  
 Invalid: 0

## SSS (B1\_v15)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 43748  
 Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 43748  
 Invalid: 0

## Level (Level)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 44084  
 Invalid: 0

## Filler (Filler\_1)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 44083  
 Invalid: 0

## Item Code (B22\_v2)

File: bk\_2-2

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 44083  
 Invalid: 0

**Interviewer instructions**

Item 236-241 will be filled only for enterprises engaged in the activity of freight transport by motor vehicles (NIC code 60231)(Freight transport by motor vehicle). Item number and corresponding description is as below.

236 - trailers

237 - 10-wheeler

238 - 6-wheeler

239 - MCV (Medium commercial vehicle) having payload capacity more than that of LCV but less than 5 tonnes

240 - LCV (Light commercial vehicle) having payload capacity of 1.5 tonnes or more but less than 3 tonnes

241 - others

Items 242-243 are applicable for NIC code 60232(Freight transport other than motor vehicle). Item number and corresponding description is as below.

242 - animal drawn

243 - others

Items 244-246 are applicable only for NIC code 6021 and 60221(Passenger land transport by motor vehicle). Item number and corresponding description is as below.

244 - bus/minibus

245 - taxi/trekker/jeep

246 - auto-rickshaw

247 - Others

Items 247-250 are applicable for NIC code 60222(Passenger land transport other than by motor vehicle). Item number and corresponding description is as below.

248 - rickshaw/van

249 - animal drawn carts

250 - others

## No. of vehicles - Total (B22\_v3)

File: bk\_2-2

**Overview**

Type: Continuous	Valid cases: 44069
Format: numeric	Invalid: 15
Width: 4	Minimum: 0
Decimals: 0	Maximum: 3759
Range: 0-3759	Mean: 1.4
	Standard deviation: 18.5

## No. of vehicles - less than 5 years' (B22\_v4)

File: bk\_2-2

**Overview**

Type: Continuous	Valid cases: 21638
Format: numeric	Invalid: 22446
Width: 4	Minimum: 0
Decimals: 0	Maximum: 3759
Range: 0-3759	Mean: 1.5
	Standard deviation: 26

## No. of vehicles - 5 - 9 years' (B22\_v5)

File: bk\_2-2

**Overview**

Type: Continuous	Valid cases: 17741
Format: numeric	Invalid: 26343
Width: 3	Minimum: 0
Decimals: 0	Maximum: 338
Range: 0-338	Mean: 1.2
	Standard deviation: 3.1

## No. of vehicles - 10 years or more' (B22\_v6)

File: bk\_2-2

**Overview**

Type: Continuous	Valid cases: 6236
Format: numeric	Invalid: 37848
Width: 3	Minimum: 0
Decimals: 0	Maximum: 250
Range: 0-250	Mean: 1.2
	Standard deviation: 3.4

## Payload capacity(in tonnes, whole no.)' (B22\_v7)

File: bk\_2-2

**Overview**

Type: Continuous	Valid cases: 5329
Format: numeric	Invalid: 38755
Width: 5	Minimum: 0
Decimals: 0	Maximum: 20500
Range: 0-20500	Mean: 36.2
	Standard deviation: 531.4

## Total distance covered(in km) (B22\_v8)

File: bk\_2-2

### Overview

Type: Continuous	Valid cases: 5307
Format: numeric	Invalid: 38777
Width: 8	Minimum: 0
Decimals: 0	Maximum: 15119108
Range: 0-15119108	Mean: 29322.8
	Standard deviation: 264280.7

## NSS Count (NS\_CNT)

File: bk\_2-2

### Overview

Type: Continuous	Valid cases: 44084
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 48
Range: 1-48	Mean: 4.2
	Standard deviation: 7

## NSC Count (NSC\_CNT)

File: bk\_2-2

### Overview

Type: Continuous	Valid cases: 44084
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 94
Range: 1-94	Mean: 8.4
	Standard deviation: 13.9

## MULTIPLIER (MULT)

File: bk\_2-2

### Overview

Type: Continuous	Valid cases: 44084
Format: numeric	Invalid: 0
Width: 7	Minimum: 1
Decimals: 0	Maximum: 2011750
Range: 1-2011750	Mean: 18471
	Standard deviation: 33890.6

## Weighting Coefficient (HHWGT)

File: bk\_2-2

### Overview

Type: Continuous	Valid cases: 44084
Format: numeric	Invalid: 0
Width: 8	Minimum: 0
Decimals: 2	Maximum: 10058.8
Range: 0.005-10058.75	Mean: 93
	Standard deviation: 170.8



## ID Number (ID)

File: bk\_2-3

**Overview**

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 9	

## State (STATE\_CD)

File: bk\_2-3

**Overview**

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 2	

## Centre code, Round, Shift (B1\_v00)

File: bk\_2-3

**Overview**

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 3	

## LOT/FSU Number (B1\_v01)

File: bk\_2-3

**Overview**

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 5	

## Frame of the Survey (B1\_v02)

File: bk\_2-3

**Overview**

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 1	

## Round Number (B1\_v03)

File: bk\_2-3

**Overview**

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 2	

## Schedule Number (B1\_v04)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 47881  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 47881  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 47881  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 47881  
 Invalid: 0

## District (B1\_v08)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 47881  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 47881  
 Invalid: 0

## Sub-Stratum (B1\_v10)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 47881  
 Invalid: 0

## Sub-Round (B1\_v11)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 47881  
 Invalid: 0

## Sub-Sample (B1\_v12)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 47881  
 Invalid: 0

## FOD Sub-Region (B1\_v13)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 47881  
 Invalid: 0

## Segment (B1\_v14)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 47881  
 Invalid: 0

## SSS (B1\_v15)

File: bk\_2-3

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 47881  
 Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_2-3

### Overview

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 2	

## Level (Level)

File: bk\_2-3

### Overview

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 1	

## Filler (Filler1)

File: bk\_2-3

### Overview

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 2	

## Item Code (B23\_v2)

File: bk\_2-3

### Overview

Type: Discrete	Valid cases: 47881
Format: character	Invalid: 0
Width: 3	

### Interviewer instructions

Items 251 and 258: no. of members with loans outstanding (only loans taken from this enterprise will be considered) and total number of members: Total number of members of the surveyed SHG/co-operative credit society who are having any outstanding loan with the surveyed enterprise as on the date of survey will be entered in this item. Suppose a SHG has 15 members. Of them, 10 members have to repay some loan(s) taken from that SHG as on date of survey. Then entry in item 251 will be 10 and entry in item 258 will be 15.

Item 252 to 257: Each of these items will be used to record the number of members who have not yet fully repaid a particular type of loan (described through that particular item) taken from the surveyed enterprise as on date of survey. So, the sum of item 252 to 257 may not be equal to the entry in item 251. For example, if a member has outstanding loan for both house building and marriage of daughter, s/he will be considered for both items 254 and 256. Different reasons of taking loans and corresponding item numbers are:

252: self-employment activity of self/ household member

253: repayment of other debts

254: meeting household capital expenditure like house building, etc.

255: meeting medical expenses of self/relatives

256: household expenses, including expenses on social functions forietye?ofit and Loss accounts?

257: others

## No. of members as on date of survey' (B23\_v3)

File: bk\_2-3

## No. of members as on date of survey' (B23\_v3)

File: bk\_2-3

**Overview**

Type: Continuous	Valid cases: 47334
Format: numeric	Invalid: 547
Width: 5	Minimum: 0
Decimals: 0	Maximum: 22000
Range: 0-22000	Mean: 28.3
	Standard deviation: 328

## NSS Count (NS\_CNT)

File: bk\_2-3

**Overview**

Type: Continuous	Valid cases: 47881
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 46
Range: 1-46	Mean: 1.7
	Standard deviation: 2.7

## NSC Count (NSC\_CNT)

File: bk\_2-3

**Overview**

Type: Continuous	Valid cases: 47881
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 91
Range: 1-91	Mean: 3.4
	Standard deviation: 5.3

## Multiplier (MULT)

File: bk\_2-3

**Overview**

Type: Continuous	Valid cases: 47881
Format: numeric	Invalid: 0
Width: 7	Minimum: 100
Decimals: 0	Maximum: 1529000
Range: 100-1529000	Mean: 20172.1
	Standard deviation: 46126

## Weighting Coefficient (HHWGT)

File: bk\_2-3

**Overview**

Type: Continuous	Valid cases: 47881
Format: numeric	Invalid: 0
Width: 7	Minimum: 1
Decimals: 2	Maximum: 7645
Range: 1-7645	Mean: 101.4
	Standard deviation: 230.9



## ID Number (ID)

File: bk\_3\_3-1

**Overview**

Type: Discrete	Valid cases: 1242740
Format: character	Invalid: 0
Width: 9	

## State (state\_cd)

File: bk\_3\_3-1

**Overview**

Type: Discrete	Valid cases: 1265286
Format: character	Invalid: 0
Width: 8	

## Centre code, Round, Shift (B1\_v00)

File: bk\_3\_3-1

**Overview**

Type: Discrete	Valid cases: 1265286
Format: character	Invalid: 0
Width: 3	

## LOT/FSU Number (B1\_v01)

File: bk\_3\_3-1

**Overview**

Type: Discrete	Valid cases: 1265286
Format: character	Invalid: 0
Width: 5	

## Frame of the Survey (B1\_v02)

File: bk\_3\_3-1

**Overview**

Type: Discrete	Valid cases: 1265286
Format: character	Invalid: 0
Width: 1	

## Round Number (B1\_v03)

File: bk\_3\_3-1

**Overview**

Type: Discrete	Valid cases: 1265286
Format: character	Invalid: 0
Width: 2	

## Schedule Number (B1\_v04)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 1265286  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1265286  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1265286  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 1265286  
 Invalid: 0

## District (B1\_v08)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 1261731  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 1261731  
 Invalid: 0

## Sub-Stratum (B1\_v10)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 1261731  
 Invalid: 0

## Sub-Round (B1\_v11)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1261731  
 Invalid: 0

## Sub-Sample (B1\_v12)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1261731  
 Invalid: 0

## FOD Sub-Region (B1\_v13)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 1261731  
 Invalid: 0

## Segment (B1\_v14)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1261731  
 Invalid: 0

## SSS (B1\_v15)

File: bk\_3\_3-1

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1261731  
 Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_3\_3-1

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 1261731  
Invalid: 0

## Level (Level)

File: bk\_3\_3-1

### Overview

Type: Discrete  
Format: character  
Width: 1

Valid cases: 1265286  
Invalid: 0

## Filler (Filler)

File: bk\_3\_3-1

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 1265286  
Invalid: 0

## Item Code (B3\_v2)

File: bk\_3\_3-1

### Overview

Type: Discrete  
Format: character  
Width: 3

Valid cases: 1265286  
Invalid: 0

### Interviewer instructions

## Item Code (B3\_v2)

## File: bk\_3-1

- Items 301 to 339: This block will record important operating expenses during the reference period. There are 7 sub-blocks in block 3 for different activities. Each sub-block has to be filled up only for relevant NIC codes. No separate sub-block is there for other community, social and personal services (NIC 04 division 90 to 93).

- Information for these activities will be covered in block 3.1 only.
  - All expenditures in these blocks are to be recorded on payable basis.
  - In oral enquiry, expenses are to be properly apportioned for the reference period and recorded. For items where payments are not yet made, payable amount may be obtained and recorded. For example, amount payable for fuel consumed or electricity purchased during the reference period may not be readily available.
  - The valuation of the consumption of material is done in terms of purchase price, which means delivered value including agent's commissions and taxes/ duties paid if any. In case of home-grown raw materials, ex farm price will be considered. Imputation of values for freely collected materials will not be made. However, any labour charge, transportation charges actually incurred will be recorded.
  - The items of blocks 3 to 6 have been given 3-digit item numbers to facilitate data processing. The "total" item always ends with 9. The value to be reported in the schedule will be in whole number only.
  - The value of consumption relates to all the materials utilised in the process of production of goods or services.
  - These may be purchased during the reference period, or prior to the reference period, or the household may supply these. The values of these materials are to be calculated by taking the purchase price, where purchase price will mean the delivered value of the goods at the enterprise, i.e., it will include agents' commissions and taxes/duties paid, if any. Evaluation of the materials supplied by the household will be done at the ex-farm price.
  - For recording data from books of accounts, figures appearing in the P&L account or income & expenditure account will be considered in its entirety, except a few items (like fines and penalties, etc.) which will not be recorded in the schedule. If entries are clubbed in the enterprise account, necessary bifurcations may be made after consulting proper records. If it is not possible to get bifurcations, entries may be recorded in the schedule based on majority criterion.
- First sub-block of block3: Hotels and restaurants (NIC-04 group 551 and 552)
- Item 301: articles consumed for food & drink preparation: Values of all articles consumed for preparation of food and drink will be recorded for the reference period. All spices, oil, vegetables, meat, eggs, curds, milk, sauce, squash, etc. will be taken into account. The articles consumed as soft drinks and alcoholic drinks are also to be considered. The value should include consumption out of the credit purchases as well.

Item 302: purchase value of goods traded: Some articles like cold drinks, cakes, pastries, beer, wines etc. are traded by the hotels and restaurants. The purchase value of such goods may be recorded against this item.

Item 303: crockery, glassware, bedding and other consumables: Expenditure on all items like crockery, glassware, bedding and other consumables i.e. items those are of semi durable nature during the reference period, will be recorded against this item. Care should be taken so that the fixed assets are not covered here. Furnishing materials like curtains, flower vases, etc. will also be reported here.

Item 304: fuels and lubricants: Expenses on fuel and lubricants used for cooking and transport will be reported against this item. Note that cooking oil reported in item 301 will not be reported here. Whatever is reported here will not be repeated again in item 342.

Second sub-block of block 3: transport (NIC-04 group 601, 602, 611, 612, class 6301 and 6303 to 6309): The major items of transport enterprises will be recorded against items 305 to 311. Care may be taken that items reported here are not duplicated in item 342 of block 3.1.

Items 305 and 306: Petrol, diesel, lubricants, etc. / tyres, tubes, batteries and retreading expenses: Value of petrol, diesel and lubricants consumed during the reference period will be entered in item 305. Expenses incurred on changing batteries, tyres, tubes and retreading cost of the tyres will be reported against item 306.

Item 307: repair and maintenance charges of the transport equipment: Repair and maintenance charges of the transport equipment will be entered in item 307. Expenditure on repair and maintenance should not include expenditure of a capital nature, which can enhance the longevity of the equipment. Replacement of some major part of the transport equipment (like reconditioning of engine, fitting of LPG/CNG unit, retreading of tyres, etc.) will not come under this item. These should be treated as addition to fixed assets. If animals are used for drawing transport equipment, then fodder cost as well as medicines and service cost for herding the animal will also be incorporated in item 307.

Item 308 and 311: toll tax, octroi, local fees, and insurance charges, etc./ charges paid towards storage of goods: Item 308 will contain the taxes, local fees, insurance charges paid each time a vehicle is run. But annual road taxes and registration fees, to be recorded in item 508, will not be a part of item 308. Charges paid for storage of goods and parking of vehicles will be reported against item 311.

Third sub-block of block 3: storage (NIC-04 class 6302): Items 312 and 313 are provided for storage and warehousing enterprises.

Items 312 and 313: consumable used in the storage/warehouse and insurance charges etc.: All consumable stores used in the warehouses will be recorded here and not in item 355 of block 3.1. The warehousing enterprises are generally insured for some risk coverage. The insurance charges payable for the commodities stored will be reported in item 313.

Fourth sub-block of block 3: communication activities (NIC-04 group 641 and 642): The major items of communication enterprises will be recorded in this sub-block.

Item 314 and 315: Call charges, rent payable (local call/ STD / ISD, cyber café, radio paging, cellular etc.) and insurance charges: The communication expenses for local call/ STD / ISD, cyber café, radio paging, cellular etc. are collected in this item. The charges payable and the rent payable to the government / public / private corporate (original service providers) may be apportioned for the reference period (these charges are generally paid once in two months) and recorded in this item. The insurance charges, if any, are to be recorded in item 315.

Fifth sub-block of block 3: real estate, renting and business activities (NIC-04 division 70 - 74): Important operating expenses like maintenance of building, machinery and equipment, travelling, hospitality, legal expenses etc. incurred by enterprises will be recorded in items 316 and 317. If the fuel charges incurred in travelling are reported against item 317 should not be repeated in item 342.

Sixth sub-block of block 3: educational activity (NIC-04 group 801, 802, 803 and 809)

Major operational expenditure incurred in running the educational enterprises will be shown in this sub-block.

The recurring expenses on laboratory consumables and library books will be noted against item 318. Recurring expenses on newspapers, journals, periodicals etc. will be recorded in item 321. Expenses on different festivals like annual day, teachers' day etc. will come in item 322. Expenses on computer consumables will be recorded

in item 323. Maintenance of furniture and fixtures like tables, chairs, projectors, laboratory equipment, computers etc. will be considered in block 3.1 against the appropriate items (344-348).

Seventh sub-block of block 3: health and social work (NIC-04 group 851, 852 and 853)

Major expenses relating to the health and social work activity will be recorded in this sub-block. Expenses on diet are to be recorded in item 324 and expenses on medicines and drugs given to the patients will be reported against items 325. Expenses on Consumables used in operation theatre, sophisticated equipments, pathological, radiological and other diagnostic tests will be recorded in item 326. Expenses on syringes, intra veins drip sets, cotton bandages, plastering materials and other disposables will be recorded against item 327. Expenditure on uniforms of staff, nurse, doctors, linen and laundry material is to be recorded in item 328. Expenditure on organising health camps, awareness programmes and other related social activities should be reported against item 331. Expenses on meeting, training, publication, nutrition (in crèches etc) will come in item 332. Diet expenses of patients will not be repeated in this item.

Expenditure on repair and maintenance of building, professional equipment and other assets will be recorded in block 3.1.

Item 339: It will contain the total of all the items appearing in this page (i.e. total of items 301 to 332).

Block 3.1

Items 341 and 342: Electricity charges and fuel & lubricant: If the total amount of electricity consumed during the reference period is not paid, the amount payable pertaining to the period will be ascertained and recorded here. If the exact amount of the bill is not known for reference period, the value will be estimated based on the electric bills paid during the recent past.

The value of coal/coke, other fuels, lubricants consumed will be recorded irrespective of whether full payment has been made or not. That part of fuel, which is produced and exacted in the process of production (intermediate products), wherever possible, is to be excluded.

While filling up item 342, duplication with item 304 and 305 has to be avoided in all respect.

Item 343: Raw materials consumed for own construction of building, furniture and fixtures (including labour charges): Own constructions for the enterprise will include materials consumed for-

- construction of passage, raising wall, digging well, etc.,
  - construction of rooms, roof, etc. of building or entire building,
  - making furniture, fixtures and small machineries of the enterprise.
- Items 344 to 348: minor repair and maintenance: These items will show the expenses made for minor repair and maintenance. Both the value of materials used in repair and service charges paid for maintenance will be included. Replacement of some major parts of fixed assets, involving considerable expenditure, and which increases the life of the fixed asset will not be included here. Some examples of expenses not to be included here are major overhauling of motors of a machine, retreading of tyre of car, replacing hard disk of a computer, changing roofing material of a room, etc. On the other hand, minor repairs such as whitewashing of rooms, routine maintenance of machinery, repair of punctures of transport equipment, etc. will be included here.
- Item 351: rent payable on fixed assets (other than land and building): Rent on assets other than land and building may be noted against this item. Hiring of machinery and equipment by the enterprise is a common phenomenon for many of the unorganised enterprises. But there may be cases where assets are neither owned nor hired (i.e. encroached). Assets may also be of freehold type. In such cases, rent may be shown as 'zero' and no imputation need be done.

- Rent payable reported against this item will be equal to the rent payable reported in column 7 for items 902 to 905 of block 9.
- Item 352: service charges for work done by other concerns (contract, sub-contract, legal, audit, advertising and other accounting services, warehousing expenses, commission expenses, etc.): This item will include-
- Charges payable for work done by other concerns, i.e., commissions payable to agents and payment towards contract and sub-contract services availed by the enterprise,
- Payments which are made/ due to be made to other concerns for work done on materials supplied to them by the reporting enterprise,
- Charges paid to home-workers or sister concerns,
- Charges paid to other agencies for the services of night-watchman, driver, etc.,
- Charges paid to porters, sweepers, accountants, income/sales tax practitioner, etc., who generally work for many enterprises in a locality.

- When payments made by the enterprise to some individuals are recorded in this item, persons receiving these payments will not be counted as workers of the enterprise and payments received by them will not be considered as emoluments.

Item 353: travelling, freight and cartage (transport) expenses: Expenses on transportation of materials will be entered against this item. If these are already included in the value of materials consumed, these items should not be filled in separately. It may be noted that expenditure proportionate to the materials consumed during the reference period is only to be recorded.

- The travelling expenses associated with the entrepreneurial activity will also be shown against this item.
- Transportation charges associated with selling of products are part of distributive expenses of an enterprise. These will not be included here.
- Care should be taken to avoid duplication with item 317.

Item 354: communication expenses (telephone, telegram, fax, postal, courier, e-mail, etc.): Expenses on communication like telephone, telegram, fax, postal, courier, e-mail, voice-mail, etc. are to be recorded here. Care should be taken so that there is no duplication of this item with item 314.

Item 355: purchase of consumable stores, packing materials, etc.: Expenses on consumable stores, packing materials, etc., will be reported in this item. Care should be taken to exclude the materials consumed for the construction of fixed assets. The value of packing materials like paper, cloth, etc. will be recorded against this item. However, packing materials should not be confused with direct materials. The treatment of packing cost depends on the nature of products/ services sold. If the cost of packing forms part of the prime cost, i.e., the articles cannot be sold without a package or a container e.g., medicines, perfumes, etc., the package will be treated as direct materials. Stock of tins, cartons, wrappers, cases, boxes etc. in such cases must be treated

in the same manner as the stock of raw materials. Expenses on computer or photocopying consumables in educational institute will not come here.

Item 356: Newspaper, journal, paper, printing and stationery expenses: For any enterprise, some amount is paid for purchasing materials like paper, printing of bills or logos and other stationery articles. All such charges may be recorded against this item. It may be noted that the stationery may be purchased at one time and used for several months. In such cases, monthly consumption may be estimated and reported. Expenses on the above mentioned material in case of educational institute would not come here.

Item 357: taxes on products: All kinds of taxes on products and services produced by the enterprise are part of intermediate consumption and will be reported against this item. For details please refer to paragraph 3.0.8. If the receipts are valued excluding taxes on products, then it will not be reported against this item. In that case 0 will be reported against item 357.

Item 358 and 361: royalties and insurance charges payable: Sometimes the enterprises have to pay royalties for making a product to some other individual or organisation. However royalties paid on land and subsoil assets like minerals extracted will not come under this item. Enterprises also insure its properties like building, machinery, transport equipment, etc. These charges will be recorded here. Insurance premiums paid for the employees of the organisation, however, are a part of compensation to the employees and this will not be recorded here.

Item 362: Purchase value of materials consumed for manufacturing or goods incidental to manufacturing, if any: An enterprise may also be indulging in manufacturing activity in addition to the major activity under coverage. In such cases, materials consumed for manufacturing activity is to be recorded against this item. Purchase value of all the materials (which the unit can also use for its manufacturing activity) sold in same condition as purchased, termed as 'goods incidental to manufacturing' will also be recorded in this item. Care should be taken so that the entry in this item is consistent with the entry in item 431.

Item 363: Purchase value of commodities traded, if any: If some enterprise is selling certain goods in the same condition as purchased, in addition to its major activity, then purchase value of goods traded during the reference period is to be recorded against this item. There should be consistency between the entry in this item and entries in item 432 to 434.

Item 364: cosmetics, toiletry and laundry articles: Expenses incurred on cosmetics, toiletry and laundry articles will be reported here.

Item 365: Other expenses (customer entertainment etc.): other expenses: Any other intermediate consumption of the enterprise, which are not covered under any of the items 341 to 364, will be reported against this item.

Expenses towards customer entertainment, working lunch, are some such expenses. Regular puja expenses should not be reported in this item.

## Value (Rs. in whole no.) (B3\_v3)

File: bk\_3\_3-1

**Overview**

Type: Continuous	Valid cases: 1265278
Format: numeric	Invalid: 8
Width: 11	Minimum: 0
Decimals: 0	Maximum: 53086400000
Range: 0-809269561	Mean: 500304.6
	Standard deviation: 89743228.8

## NSS Count (NSS\_CNT)

File: bk\_3\_3-1

**Overview**

Type: Continuous	Valid cases: 1265286
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 48
Range: 1-48	Mean: 5
	Standard deviation: 8.1

## NSC Count (NSC\_CNT)

File: bk\_3\_3-1

**Overview**

Type: Continuous	Valid cases: 1265286
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 94
Range: 1-94	Mean: 9.9
	Standard deviation: 16.2

## Multiplier (MULT)

File: bk\_3\_3-1

**Overview**

Type: Continuous	Valid cases: 1265286
Format: numeric	Invalid: 0
Width: 7	Minimum: 1
Decimals: 0	Maximum: 4799900
Range: 1-4799900	Mean: 15805.3
	Standard deviation: 34408.2

## Weighting Coefficient (HHWGT)

File: bk\_3\_3-1

**Overview**

Type: Continuous	Valid cases: 1265286
Format: numeric	Invalid: 0
Width: 8	Minimum: 0
Decimals: 2	Maximum: 23999.5
Range: 0.005-23999.5	Mean: 79.6
	Standard deviation: 173.7



## ID Number (ID)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 9

Valid cases: 1690824  
 Invalid: 0

## State (STATE\_CD)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 1690824  
 Invalid: 0

## Centre code, Round, Shift (B1\_v00)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 1690824  
 Invalid: 0

## LOT/FSU number (B1\_v01)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 5

Valid cases: 1690824  
 Invalid: 0

## Frame of the Survey (B1\_v02)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1690824  
 Invalid: 0

## Round Number (B1\_v03)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 1690824  
 Invalid: 0

## Schedule Number (B1\_v04)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 1690824  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1690824  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 1690824  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 1690824  
 Invalid: 0

## District (B1\_v08)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 1683396  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_4\_5\_6

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 1683396  
 Invalid: 0

**Sub-Stratum (B1\_v10)**

File: bk\_4\_5\_6

**Overview**Type: Discrete  
Format: character  
Width: 2Valid cases: 1683396  
Invalid: 0**Sub-Round (B1\_v11)**

File: bk\_4\_5\_6

**Overview**Type: Discrete  
Format: character  
Width: 1Valid cases: 1683396  
Invalid: 0**Sub-Sample (B1\_v12)**

File: bk\_4\_5\_6

**Overview**Type: Discrete  
Format: character  
Width: 1Valid cases: 1683396  
Invalid: 0**FOD Sub-Region (B1\_v13)**

File: bk\_4\_5\_6

**Overview**Type: Discrete  
Format: character  
Width: 4Valid cases: 1683396  
Invalid: 0**Segment (B1\_v14)**

File: bk\_4\_5\_6

**Overview**Type: Discrete  
Format: character  
Width: 1Valid cases: 1683396  
Invalid: 0**SSS (B1\_v15)**

File: bk\_4\_5\_6

**Overview**Type: Discrete  
Format: character  
Width: 1Valid cases: 1683396  
Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_4\_5\_6

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 1683396  
Invalid: 0

## Level (Level)

File: bk\_4\_5\_6

### Overview

Type: Discrete  
Format: character  
Width: 1

Valid cases: 1690824  
Invalid: 0

## Filler (Filler)

File: bk\_4\_5\_6

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 1690822  
Invalid: 0

Item Code (B4\_v2)

File: bk\_4\_5\_6

#### Overview

Type: Discrete

Format: character

Width: 2

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Valid cases: 1690822

Invalid: 0



## Value(Rs. in whole no.) (B4\_v3)

File: bk\_4\_5\_6

**Overview**

Type: Continuous	Valid cases: 1690628
Format: numeric	Invalid: 196
Width: 12	Minimum: 0
Decimals: 0	Maximum: 157231600000
Range: 0-157231600000	Mean: 3391789.4
	Standard deviation: 403535485.6

## Sign (B4\_v4)

File: bk\_4\_5\_6

**Overview**

Type: Discrete	Valid cases: 3205
Format: numeric	Invalid: 1687619
Width: 1	Minimum: 0
Decimals: 0	Maximum: 1
Range: 0-1	Mean: 1

## NSS Count (NS\_CNT)

File: bk\_4\_5\_6

**Overview**

Type: Continuous	Valid cases: 1690824
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 48
Range: 1-48	Mean: 4.7
	Standard deviation: 7.8

## NSC Count (NSC\_CNT)

File: bk\_4\_5\_6

**Overview**

Type: Continuous	Valid cases: 1690824
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 94
Range: 1-94	Mean: 9.4
	Standard deviation: 15.7

## Multiplier (MULT)

File: bk\_4\_5\_6

**Overview**

Type: Continuous	Valid cases: 1690824
Format: numeric	Invalid: 0
Width: 10	Minimum: 1
Decimals: 0	Maximum: 4799900
Range: 1-4799900	Mean: 16391.8
	Standard deviation: 34083.3

## Weighting Coefficient (HHWGT)

File: bk\_4\_5\_6

### Overview

Type: Continuous  
Format: numeric  
Width: 8  
Decimals: 2  
Range: 0.005-23999.5

Valid cases: 1690824  
Invalid: 0  
Minimum: 0  
Maximum: 23999.5  
Mean: 82.5  
Standard deviation: 172.1

## ID Number (ID)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 9

Valid cases: 712302  
 Invalid: 0

## State (STATE\_CD)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 712302  
 Invalid: 0

## Centre code, Round, Shift (B1\_v00)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 712302  
 Invalid: 0

## LOT/FSU number (B1\_v01)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 5

Valid cases: 712302  
 Invalid: 0

## Frame of the Survey (B1\_v02)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 712302  
 Invalid: 0

## Round Number (B1\_v03)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 712302  
 Invalid: 0

## Schedule Number (B1\_v04)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 712302  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 712302  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 712302  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 712302  
 Invalid: 0

## District (B1\_v08)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 709402  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 709402  
 Invalid: 0

## Sub-Stratum (B1\_v10)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 709402  
 Invalid: 0

## Sub-Round (B1\_v11)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 709402  
 Invalid: 0

## Sub-Sample (B1\_v12)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 709402  
 Invalid: 0

## FOD Sub-Region (B1\_v13)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 709402  
 Invalid: 0

## Segment (B1\_v14)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 709402  
 Invalid: 0

## SSS (B1\_v15)

File: bk\_7\_8

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 709402  
 Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_7\_8

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 709402  
Invalid: 0

## Level (Level)

File: bk\_7\_8

### Overview

Type: Discrete  
Format: character  
Width: 1

Valid cases: 712302  
Invalid: 0

## Filler (Filler)

File: bk\_7\_8

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 712301  
Invalid: 0

## Item code (B7\_v2)

File: bk\_7\_8

### Overview

Type: Discrete  
Format: character  
Width: 3

Valid cases: 712301  
Invalid: 0

### Interviewer instructions

## Item code (B7\_v2)

## File: bk\_7\_8

Item 701: Did the list frame company have branch office? : The term 'branch' has been explained in 3.0.5. Note that franchisee outlets are not branches of a company. If any list frame company has office located in at least one place more than the frame-address of the company, then the entry against this item will be '1'. Otherwise entry will be '2'. If entry is '1', block 7.1 also will be filled.

Worker: Definition of worker is already given in 3.0.6. The reference period for this block will also be same as the one used for block 3/ 6 as the case may be.

Few common instructions for filling up items 702 to 716

- entries may be done first for the females, followed by males.
- Working owner, hired worker, other workers can be either full-time or part-time according to the definitions given in 3.0.6.
- For seasonal enterprises who have worked for less than 30 days in the current season, if figures are recorded orally, average number of workers will be calculated based on number of working months.
- Generally, for any enterprise, there will be at least one worker.

Items 702, 705, 711, 714: Working owner: In case of proprietary or partnership enterprises, only owners who personally work in the enterprise will be considered for calculation of working owner.

- For full-time female/male working owner, item 702/ item 711 will be positive.
- For part-time female/male working owner, item 705/ item 714 will be positive.

Items 703 706, 712, 715: Hired worker: Detailed definition and guidelines on hired workers are already available in paragraph 3.0.6. Apprentices, paid or unpaid, are to be treated as hired workers. Paid household workers, servants and resident workers of the enterprise are also to be considered as hired workers for the purpose of making entry against this item.

- For full-time female/male hired worker, item 703/ item 712 will be positive.
- For part-time female/male hired worker, item 706/ item 715 will be positive.

Items 704, 707, 713, 716: other worker/ helper: Information regarding the workers not covered in items mentioned in above two paragraphs shall be recorded against this item. This includes all persons belonging to the household of the proprietor or households of the partners who are working in or for the enterprise without regular salary or wages. Persons working as exchange labourer in the enterprise without salary or wages will also be covered in this category. All unpaid household workers/ helpers who are associated with the activities of the enterprise during the reference period will be considered for recording entries against these items.

- For female/ male full-time other workers, Item 704/ item 713 will be positive.
- For female/ male part-time other workers, item 707/ item 716 will be positive.

Item 719: Total workers: The entry is the sum of all the entries from items 702 to 716. For list frame companies, entry in item 719 should also be equal to total of column 5 of block 7.1.

Item 721: If entry in item 215 is 2 or more, total number of workers of the surveyed enterprise who is also working in other enterprise of the owner will be reported here.

Item 722: If entry in item 216 is 2 or more, total number of workers of the surveyed enterprise who is also working in other enterprise of the owner with same major activity at 2-digit level of NIC-04 will be reported here.

e.g.- A doctor (D) is having 2 chambers ( c1 and c2) and a tutorial. 2 persons (A and B) are working in the tutorial.

One more person (C), other than the doctor, is working in all the three places. One man (B) also works in the chamber c1 of that doctor. Suppose, c1, c2 and tutorial are separate enterprises. Then the entries will be:

Item no.	Chamber c1	Chamber c2	Tutorial
215	3 (c1, c2, tutorial)	3 (c1, c2, tutorial)	3 (c1, c2, tutorial)
216	2 (c1, c2)	2 (c1, c2)	0
719	3(D + B + C)	2 (D + C)	4 (D + A + B + C)
721	3(D + B + C)	2 (D + C)	3 (D + B + C)
722	2(D + C)	2 (D + C)	0

Items 801 and 802: Salary/wages, allowances and other individual benefits (cash & kind): Salaries/ wages

payable for the reference period will be recorded first for the working owners and then for the hired workers in these items. This will include:-

- 1) stipulated pay, other allowances like dearness, house-rent, over-time, shift allowance, etc.
  - 2) regular payments in kind as salary or wages or as a part of salary or wages, evaluated at cost to the employer,
  - 3) bonus, retirement benefits, other individual benefits like ex-gratia payment, employer's contribution to ESIC fund, provident fund, etc., apportioned for the reference period.
- Payments of type (3) above are generally made once in six months or once in a year or at the time of retirement.

For these kinds of one-time payment the apportioned amount for the reference period will be included. Suppose an enterprise paid Rs.24000 as gratuity to its employees during one year and the reference period for data collection is a month. Then,  $Rs.24000 \div 12 = Rs.2000$  will be recorded.

-Compensations made to working owners will be recorded separately in item 801 followed by payments to hired workers in item 802.

- In the books of accounts, PF administration charges are generally separately available. This is not a part of compensation to workers. Hence, this item will not be recorded in item 801. This administration charges will be recorded in item 352.

Items 803 and 804: Imputed value of group benefits (including employer's contribution towards canteen, sports, insurance, etc.): This includes expenses (net cost to the enterprise) made by the employer to provide amenities and benefits in kind to workers as a whole, either free of cost or at subsidised rates. It includes: (i) Provision of canteen, crèches, sports & recreation clubs, dispensary, etc.

(ii) Provision of food, beverages, tobacco, uniforms, lodging, transport to office and back & other kind benefits.

- Apportioned values of these group benefits may be recorded, e.g., for reference period, divide the yearly figure by number of months operated.

- Group benefits derived by working owner will be recorded separately in 803. If it is for the hired or other workers, then entry will be in item 804.

Item 809: Total emoluments: Total of items 801 to 804, the total emoluments payable to all the workers for the reference period shall be recorded here.

While making entry in block 8, and more importantly, during scrutiny, it has to be ensured that the links between blocks 7 and 8 exist. For example, if hired worker is reported in block 7, there must be entry in item 802 and viceversa.

## Code(for item 701) (B7\_v3)

File: bk\_7\_8

**Overview**

Type: Continuous	Valid cases: 712253
Format: numeric	Invalid: 49
Width: 11	Minimum: 0
Decimals: 0	Maximum: 51139600000
Range: 0-51139600000	Mean: 712273.6
	Standard deviation: 140715325.7

## NSS Count (NS\_CNT)

File: bk\_7\_8

**Overview**

Type: Continuous	Valid cases: 712302
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 48
Range: 1-48	Mean: 4.8
	Standard deviation: 7.8

## NSC Count (NSC\_CNT)

File: bk\_7\_8

**Overview**

Type: Continuous	Valid cases: 712302
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 94
Range: 1-94	Mean: 9.5
	Standard deviation: 15.5

## Multiplier (MULT)

File: bk\_7\_8

**Overview**

Type: Continuous	Valid cases: 712302
Format: numeric	Invalid: 0
Width: 10	Minimum: 1
Decimals: 2	Maximum: 4799900
Range: 1-4799900	Mean: 15806.9
	Standard deviation: 34336.1

## Weighting Coeficient (HHWGT)

File: bk\_7\_8

**Overview**

Type: Continuous	Valid cases: 712302
Format: numeric	Invalid: 0
Width: 8	Minimum: 0
Decimals: 2	Maximum: 23999.5
Range: 0.005-23999.5	Mean: 79.6
	Standard deviation: 173.1



## ID Number (ID)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 9

Valid cases: 967296  
 Invalid: 0

## State (STATE\_CD)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 967296  
 Invalid: 0

## Centre code, Round, Shift (B1\_v00)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 967296  
 Invalid: 0

## LOT/FSU number (B1\_v01)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 5

Valid cases: 967296  
 Invalid: 0

## Frame of the Survey (B1\_v02)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 967296  
 Invalid: 0

## Round Number (B1\_v03)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 967296  
 Invalid: 0

## Schedule Number (B1\_v04)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 967296  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 967296  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 967296  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 967296  
 Invalid: 0

## District (B1\_v08)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 962765  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 962765  
 Invalid: 0

## Sub-Stratum (B1\_v10)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 962765  
 Invalid: 0

## Sub-Round (B1\_v11)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 962765  
 Invalid: 0

## Sub-Sample (B1\_v12)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 962765  
 Invalid: 0

## FOD Sub-Region (B1\_v13)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 962765  
 Invalid: 0

## Segment (B1\_v14)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 962765  
 Invalid: 0

## SSS (B1\_v15)

File: bk\_9

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 962765  
 Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_9

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 962765  
Invalid: 0

## Level (Level)

File: bk\_9

### Overview

Type: Discrete  
Format: character  
Width: 1

Valid cases: 967296  
Invalid: 0

## Filler (Filler)

File: bk\_9

### Overview

Type: Discrete  
Format: character  
Width: 2

Valid cases: 967293  
Invalid: 0

## Serial no. (B9\_v2)

File: bk\_9

### Overview

Type: Discrete  
Format: character  
Width: 3

Valid cases: 967293  
Invalid: 0

### Interviewer instructions

## Serial no. (B9\_v2)

## File: bk\_9

## Item 901: Land and Building:

is used for the enterprise. This will also include improvement to land. However, if only a portion of the land belonging to the residence of a household is utilised for the enterprise, only that portion of the land may be considered as capital assets for the enterprise. Land owned with permanent heritable possession with or without right to transfer the title would come under this item. Land held on long-term lease, say, 30 years or more will also be treated as land owned. Encroached land will be considered as hired assets with '0' rent.

Building is the structures like shed, house, portions of a house or other structure, building under construction and other conveniences in which the activities of the enterprise are carried out. This will include other constructions such as passage, boundary wall, partition, water tank, sewerage, tube-well, etc.

Item 902: Plant and machinery: Plant is generally the name given to an assembly of machinery/ equipment/ devices installed for the operation of entrepreneurial activities. Machinery means an implement or mechanical device used in the entrepreneurial activities. These are assets of durable nature and can be easily identified. The full value of the machinery should be reported even if the machinery was purchased during the year on hirepurchase basis. Advance payments made for the purchase of machinery (not in possession during the reference year) will not be recorded as the approach for recording information here is by physical approach.

Item 903: Transport equipment: All vehicles, power-driven or man/animal -driven, used for transporting persons, goods and materials by the enterprise in connection with its activity will be covered by this item. Animals, if used for drawing vehicles or carrying loads, will be treated as part of transport equipment; otherwise they will be included in item 905. If the equipment is used both for domestic as well as enterprise purposes, the criterion to be followed is major time disposition of the equipment i.e., whether equipment is used more for domestic purpose or for use in enterprise. Transport equipment that is occasionally rented out will be included if it is mainly used for the activities of the enterprise.

Item 904: Software and Hardware: Considering the growing importance of computers and softwares used in various industries, a separate item on this has been made during this round. Computers and all kinds of software used by the enterprise must be entered in item 804.

Item 905: Tools and other fixed assets: Tools refer to small loose implements, generally held in hand for operation and having normal life of more than one year. Other fixed assets refer to other durable equipment (not covered under items 801 to 804 above), which are used for the entrepreneurial activity either directly or indirectly. These will include furniture, fixtures, laboratory equipment, office equipment, mobile handset, etc. Livestock used as P & M will also be included under this item. If the same animal is used part of the time in oil mills and part of the time for transporting materials, it will be classified under item 903 or item 905 depending upon major time spent.

Item 906: Capital work in progress: These are expenses made by the enterprise on some fixed assets although the amount spent has not yet been capitalised. Building, machinery, etc. under construction will be recorded here.

Item 909: Total: This is total of items 901 to 906.

Item 911: investments (other than trade): The definition is given in 3.0.16. Purchase of financial instruments other than trade are the financial investments of an enterprise. All such investments will be recorded in this item. Generally, for these investments, three types of values are provided, viz. 'face value', 'cost value' and 'market value'. In the balance sheet, the 'cost value' is shown. This cost value, amount that the enterprise spent to procure the asset will be recorded in item 911.

Item 912: loans to members: Some enterprises, particularly the Self-Help Groups (SHG's) and co-operative credit societies provide loans to the members of the societies. These loans are financial assets of the enterprises. These will be recorded here.

Items 913 to 915 are provided to record all the current assets of an enterprise, both physical and financial.

Item 913: inventories (physical and financial): All raw materials, packing materials, and similar physical goods in stock will constitute the physical inventories. Similar financial inventories (stock of shares used for trading, etc.) will also be considered here.

Item 914: loans and advances: Loans and advances given to staff, advances given for supply of some goods or services, etc. will be recorded here.

Item 915: others: The other current assets will include cash and bank balances, sundry debtors, prepaid expenses, balance with govt. authorities, security deposits (e.g., bank guarantee, electricity deposit, etc.), tender deposits of short duration, advance tax and TDS, etc.

Item 919: total: This is the total of items 911 to 915. Items 809 and 819 together will cover all the assets of an enterprise excluding the intangibles like goodwill, etc.

Item 921: interest/dividend receivable during the reference year: Interests and dividends receivable by the enterprise during the entire reference year will be recorded in this item. These interest and dividends may accrue from the investments made by the enterprise, from interests charged on late payments made by some supplier, etc.

Items 922 and 923: Capital gain and loss during the reference year: When an enterprise sells some of its assets, be it physical or financial, the value received by the enterprise may differ from the depreciated book value of the asset sold. If the value realised by the enterprise is more than the book value of the asset, it is called capital gain. This capital gain will be reported in item 922. If the value realised is less than the book value, it is considered a capital loss. The capital loss will be reported in item 923. For the financial enterprises, purchase and sale of financial instruments form a part of their core activity. Hence, for these enterprises, loss/gain due to purchase and sale of financial instruments will not be reflected in items 922 and 923.

## Net book value as on 1st day of ref. Period (B9\_v3)

File: bk\_9

### Overview

Type: Continuous	Valid cases: 43293
Format: numeric	Invalid: 924003
Width: 12	Minimum: 0
Decimals: 0	Maximum: 420559385032
Range: 0-420559385032	Mean: 118270253.7
	Standard deviation: 3352896668.1

### Interviewer instructions

Column (3): Net book value of owned assets as on first day of the reference year: This column will be filled only for enterprises that would provide its books of accounts. Net opening value as per the books may be recorded. Sometime opening value might not be available in balance sheet, but it can be obtained from last year's balance sheet.

## Net book value as on last day of ref. Period (B9\_v4)

File: bk\_9

### Overview

Type: Continuous	Valid cases: 879258
Format: numeric	Invalid: 88038
Width: 12	Minimum: 0
Decimals: 0	Maximum: 530235699862
Range: 0-530235699862	Mean: 7747897.6
	Standard deviation: 943094992.8

### Interviewer instructions

Column (4): net book value /market value of owned assets as on last day of the reference year: This column will be filled for all enterprises. Value of the owned fixed assets as on last date of reference period shall be recorded here. If an enterprise provides information from its books of accounts, net closing value, i.e., closing value after depreciation as per the books may be recorded. However, for oral enquiry, market value, i.e., value that the equipment will fetch in the open market in present condition is to be ascertained and recorded in this column.

## Additions during the reference period (B9\_v5)

File: bk\_9

### Overview

Type: Continuous	Valid cases: 87366
Format: numeric	Invalid: 879930
Width: 11	Minimum: 0
Decimals: 0	Maximum: 23091500000
Range: 0-23091500000	Mean: 2799439.7
	Standard deviation: 148307241.6

### Interviewer instructions

## Additions during the reference period (B9\_v5)

### File: bk\_9

Column (5): additions during the reference year: In this column information regarding additions to the fixed assets owned during the reference year will be recorded. Addition to the fixed assets can be:

- through purchase,
- through own construction, where values need to be estimated by considering:
  - i) value of purchases of all materials used for the purpose,
  - ii) amount paid for labour or service charges on that account,
  - iii) imputed value of goods/services supplied by the household, if any
  - iv) homegrown materials, if any, are to be evaluated at ex-farm price,
  - v) value of any material already lying with the household for a long time, at cost price (cost to the household).
- received otherwise (e.g., gift), valued at the full cost incurred, i.e., at the delivered price plus the cost of installation, including any necessary fees and taxes paid but excluding financing costs, if any
- Repair and maintenance cost of minor nature will not be included but replacement of some major parts, which require considerable (capital) expenditure, i.e., which increases the economic life of an asset, will be considered for inclusion. (Cost of routine repair and maintenance of fixed assets reported in block 3.1 against appropriate items from 344 to 348 will be excluded from block 9). All major repairs of transport equipments like retreading of tyres, changes of tubes, reconditioning of engines, etc., will be considered here and not in blocks 3/3.1.

## Deductions during the reference period (B9\_v6)

### File: bk\_9

#### Overview

Type: Continuous	Valid cases: 19151
Format: numeric	Invalid: 948145
Width: 10	Minimum: 0
Decimals: 0	Maximum: 5570800000
Range: 0-5570800000	Mean: 1567766.2
	Standard deviation: 56276421.1

#### Interviewer instructions

Column (6): deductions during the reference year: In this column information regarding depletion of the fixed assets owned during the reference year will be recorded. The deductions to the fixed assets are obtained by considering:

- Assets sold and discarded during last 365 days. 'Sale' that is only agreed upon but not affected during the reference year will not be included even if money has been taken in advance. But, the value of assets sold will be included though payment is yet to be received.
- The value of assets disposed of in any manner other than sale. Besides obsolescence (gone out of use) of an asset, this will include loss due to theft, damage, accident etc.

## Rent payable on hired assets (B9\_v7)

### File: bk\_9

#### Overview

Type: Continuous	Valid cases: 139860
Format: numeric	Invalid: 827436
Width: 10	Minimum: 0
Decimals: 0	Maximum: 1331800000
Range: 0-1331800000	Mean: 206383.8
	Standard deviation: 9583350.8

#### Interviewer instructions

Column (7): rent payable on hired assets during the reference period (Rs.): The rent payable on the assets hired by the enterprise will be recorded for the reference period (i.e., reference period for oral enquiry or reference year for data recorded using books of accounts). If no rent is payable for any asset put '0' in column (7) for that particular type of asset. For oral enquiry, if an enterprise is located in a rented house where the household resides as well, rent may be apportioned on the basis of floor area occupied by the enterprise. For rent paid in kind, imputed value may be given. If the enterprise runs in one's own house, rent need not be imputed. Lease rent will be recorded in this column with supporting remarks.

## NSS Count (NS\_CNT)

File: bk\_9

### Overview

Type: Continuous	Valid cases: 967296
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 48
Range: 1-48	Mean: 4.6
	Standard deviation: 7.6

## NSC Count (NSC\_CNT)

File: bk\_9

### Overview

Type: Continuous	Valid cases: 967296
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 94
Range: 1-94	Mean: 9.2
	Standard deviation: 15.2

## Multiplier (MULT)

File: bk\_9

### Overview

Type: Continuous	Valid cases: 967296
Format: numeric	Invalid: 0
Width: 10	Minimum: 1
Decimals: 0	Maximum: 4799900
Range: 1-4799900	Mean: 16772.5
	Standard deviation: 35471

## Weighing Coefficient (HHWGT)

File: bk\_9

### Overview

Type: Continuous	Valid cases: 967296
Format: numeric	Invalid: 0
Width: 8	Minimum: 0
Decimals: 2	Maximum: 23999.5
Range: 0.005-23999.5	Mean: 84.4
	Standard deviation: 178.6

## ID Number (ID)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 9

Valid cases: 94771  
 Invalid: 0

## State (STATE\_CD)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 94771  
 Invalid: 0

## Centre code, Round, Shift (B1\_v00)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 94771  
 Invalid: 0

## LOT/FSU number (B1\_v01)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 5

Valid cases: 94771  
 Invalid: 0

## Frame of the Survey (B1\_v02)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 94771  
 Invalid: 0

## Round Number (B1\_v03)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 94771  
 Invalid: 0

## Schedule Number (B1\_v04)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 94771  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 94771  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 94771  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 3

Valid cases: 94771  
 Invalid: 0

## District (B1\_v08)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 91824  
 Invalid: 0

## Stratum (B1\_v09)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 91824  
 Invalid: 0

## Sub-Stratum (B1\_v10)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 91824  
 Invalid: 0

## Sub-Round (B1\_v11)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 91824  
 Invalid: 0

## Sub-Sample (B1\_v12)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 91824  
 Invalid: 0

## FOD Sub-Region (B1\_v13)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 91824  
 Invalid: 0

## Segment (B1\_v14)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 91824  
 Invalid: 0

## SSS (B1\_v15)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 91824  
 Invalid: 0

## Enterprise Number (B1\_v16)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 91824  
 Invalid: 0

## Level (Level)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 94771  
 Invalid: 0

## Filler (Filler)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 94771  
 Invalid: 0

## Serial no. (B10\_v2)

File: bk\_10

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 94771  
 Invalid: 0

**Interviewer instructions**

## Serial no. (B10\_v2)

## File: bk\_10

Item 1001: partner's capital: This item is applicable only for partnership enterprises. Total capital deployed by all the partners of the enterprise as on the last date of the reference year will be recorded here. In the books of accounts of partnership or HUF enterprises, this entry is generally made under the heading 'capital account'.

Item 1002: share capital (paid-up) and share application money: Companies issue share certificates, each of a pre-determined face value (usually of Rs. 10) to its shareholders, public or a limited set of private individuals.

Against these certificates, the shareholders deposit money to the company. These are called paid-up share capital. In addition to the face value of the share certificates issued by the company, sometimes it collects additional money for issue of each such share, called 'premiums', 'security premium' or 'share premium account'.

If a company receive the amount for issue of share certificates but has not actually issued the same, the money thus held by the company is called share application money. Total value (in rupees) of all these items excluding share premium will be reported in 1002. Share capital comes in some books of accounts under the heading 'shareholder's funds'. The paid-up share capital is also written as 'issued, subscribed and paid-up equity shares'

in some books of accounts. In some balance sheets, one may find two entries under the heading share capital: 'issued' and 'subscribed and paid-up'. The 'subscribed and paid-up' part will be recorded against item 1002 (and not both 'issued' and 'subscribed and paid-up').

Item 1003: reserve and surplus, share premium and other reserve funds: The companies, after distribution of dividends, etc., to its shareholders, transfer most of the remaining part of profit in the reserve and surplus fund. These will be recorded here. Some of the entries made under this heading are: 'general reserve', 'share transfer fund', 'reserve fund', 'risk fund', 'dividend equalisation fund', 'statutory reserve', 'capital reserve', 'capital redemption reserve', 'P&L account reserve', etc. Entire reserve and surplus up to the closing date of the year will be recorded in this item. Share premium will also be included in this item.

Items 1004 to 1014 are different types of long term loans contracted by the enterprise. Description of these is given below.

Item 1004: long term interest-free loans: Any non-institutional loan, which is interest-free, will be included in this item.

Item 1005: central and state level term lending institutions, government (central, state, local bodies), banks & societies (public sector, commercial, co-operative): This will include the following institutions:

- i) Industrial Financial Corporation (IFC)
- ii) Industrial Development Bank (IDB)
- iii) Industrial Credit and Investment Corporation
- iv) National Industrial Development Corporation
- v) National/State Small Industries Corporation
- vi) Other industrial and financial corporations set up by Central/State Governments
- vii) Different Ministries/Departments of Government or the local bodies (i. e., panchayat, municipality, etc.)
- viii) All scheduled and unscheduled banks including the State Bank of India and its subsidiaries like the State Bank of Travancore, State Bank of Mysore, State Bank of Bikaner & Jaipur, State Bank of Patiala etc.
- ix) Cooperative institutions like primary cooperative credit societies, district and central cooperative banks, primary or central land mortgage banks, and loom weavers' cooperative societies and other industrial or other types of cooperative societies etc.

Item 1006: other institutional agencies: Loans advanced by institutions/ agencies like Khadi and Village Industries Commission, Life Insurance Corporation, Chit Funds, etc. not covered under item 1005 above will be recorded against this item.

Item 1007: member's funds: For many financial enterprises like the SHG's, co-operative societies, chit funds, etc. members contribute a fixed amount mostly on a regular periodic basis which is used by the enterprise for giving loans to members, etc. for running the activities of the enterprise. The entire amount contributed by the members will be reported here. Note that capital of the members/ partners reported in item 1001 should not be duplicated here. Entries appearing in the balance sheet under the heading 'member's funds' under 'loan funds' will be recorded in this item.

Item 1008: fixed deposits: Some enterprises take loans from individuals on which the enterprises are liable to pay interest at an agreed rate. These fixed deposits will be recorded here. Generally enterprises issuing such fixed deposits have to possess specific permissions (from the Reserve Bank of India) for issuing the fixed deposits.

Item 1011: moneylenders: A money lender is any person who has advanced a loan which carries interest irrespective of his being a friend or relative of the entrepreneur.

Item 1012: Business partner(s)/inter corporate loan: In the case of a partnership enterprise, loans may be made available by partner(s) of the enterprise. One company can contract loan from its sister concerns. For such type of loans entries shall be made against this item. If the partner happens to be a moneylender then also entry shall be made against this item.

Item 1013: suppliers/ contractors: Sometimes an enterprise is provided with loans by the supplier of basic inputs/ raw materials and equipment to the enterprise. In the case of an enterprise working on contract basis, it may so happen that the contractor himself gives that loan. All such loans shall be recorded against this item.

Item 1014: others (debentures, etc.): Long term (i.e., of a duration of more than a year) loans taken from any other agency not covered through items 1004 to 1013 and debentures issued by the enterprise will be recorded under this item.

1015 and 1016 will be used all the current liabilities and provisions made by the enterprise.

Item 1015: sundry creditors: Sundry creditors for supplies, other finances and other liabilities will be included here.

Item 1016: other provisions: Bills payable, advance payments received on supplies to be made by the enterprise, credit balance with sister concerns, provisions for taxation, leave encashment, diminution in value of investments, etc. will be reported here.

Item 1019: Total: Total of items 1001 to 1016 will be recorded against this item.

Item 1021: authorised share capital: The companies, co-operative societies, etc. who can issue shares, decide first on the maximum permissible limit for issuing such shares, which they later get ratified by some government agency. This maximum permissible limit is called the authorised share capital. The actual value realised by the company through paid-up share capital cannot exceed this authorised value. The authorised share capital value (not the number of shares) will be recorded here.

For making entries using books of accounts, generally all the items of assets and liabilities provided in blocks 9 and 10 are available as direct entries (or a sum-total of a few direct entries) in the balance sheet. These may be copied without omission or duplication keeping in mind that entries in items 901 to 919 will be available as assets and entries of block 10 will be available as liabilities in the balance sheet.

Column (3): principal amount outstanding as on the last date of reference year: The actual amount outstanding, like principal amount of loan outstanding, shall be recorded in this column against the appropriate items of liability.

Column (4): Interest/dividend payable during the reference period (Rs): Total interest/dividend payable for the reference period for all the liabilities taken by the enterprise will be recorded here, irrespective of the fact that any of the loans might have been repaid either fully or partly or found to be outstanding. This will include interest/dividend payable to the partners of the enterprise also.

## Principal amount outstanding (B10\_v3)

File: bk\_10

**Overview**

Type: Continuous	Valid cases: 94628
Format: numeric	Invalid: 143
Width: 12	Minimum: 0
Decimals: 0	Maximum: 533797133262
Range: 0-533797133262	Mean: 80969604.3
	Standard deviation: 2787430073.3

## Interest/ dividend payable (B10\_v4)

File: bk\_10

**Overview**

Type: Continuous	Valid cases: 57347
Format: numeric	Invalid: 37424
Width: 11	Minimum: 0
Decimals: 0	Maximum: 24602342995
Range: 0-24602342995	Mean: 4345061.1
	Standard deviation: 202148431.3

## NSS Count (NS\_CNT)

File: bk\_10

**Overview**

Type: Continuous	Valid cases: 94771
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 48
Range: 1-48	Mean: 4.6
	Standard deviation: 8

## NSC Count (NSC\_CNT)

File: bk\_10

**Overview**

Type: Continuous	Valid cases: 94771
Format: numeric	Invalid: 0
Width: 2	Minimum: 1
Decimals: 0	Maximum: 94
Range: 1-94	Mean: 9.1
	Standard deviation: 16

## Multiplier (MULT)

File: bk\_10

**Overview**

Type: Continuous	Valid cases: 94771
Format: numeric	Invalid: 0
Width: 7	Minimum: 1
Decimals: 0	Maximum: 1529000
Range: 1-1529000	Mean: 16052.5
	Standard deviation: 34037.2

## Weighting Coefficient (HHWGT)

File: bk\_10

### Overview

Type: Continuous  
Format: numeric  
Width: 7  
Decimals: 2  
Range: 0.005-7645

Valid cases: 94771  
Invalid: 0  
Minimum: 0  
Maximum: 7645  
Mean: 81  
Standard deviation: 171.8

## ID Number (ID)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 3521
Format: character	Invalid: 0
Width: 5	

## State (state\_cd)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 3521
Format: character	Invalid: 0
Width: 2	

## Centre code, Round, Shift (B1\_v00)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 3521
Format: character	Invalid: 0
Width: 3	

## LOT/FSU number (B1\_v01)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 3521
Format: character	Invalid: 0
Width: 5	

## Frame of the Survey (B1\_v02)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 3521
Format: character	Invalid: 0
Width: 1	

## Round Number (B1\_v03)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 3521
Format: character	Invalid: 0
Width: 2	

## Schedule Number (B1\_v04)

File: bk\_71

**Overview**

Type: Discrete  
 Format: character  
 Width: 4

Valid cases: 3521  
 Invalid: 0

## Sample (B1\_v05)

File: bk\_71

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 3521  
 Invalid: 0

## Sector (B1\_v06)

File: bk\_71

**Overview**

Type: Discrete  
 Format: character  
 Width: 1

Valid cases: 3521  
 Invalid: 0

## State-Region (B1\_v07)

File: bk\_71

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 3521  
 Invalid: 0

## District (B1\_v08)

File: bk\_71

**Overview**

Type: Discrete  
 Format: numeric  
 Width: 1  
 Decimals: 0

Valid cases: 0  
 Invalid: 3521

## Stratum (B1\_v09)

File: bk\_71

**Overview**

Type: Discrete  
 Format: numeric  
 Width: 1  
 Decimals: 0

Valid cases: 0  
 Invalid: 3521

## Sub-Stratum (B1\_v10)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 0
Format: numeric	Invalid: 3521
Width: 1	
Decimals: 0	

## Sub-Round (B1\_v11)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 0
Format: numeric	Invalid: 3521
Width: 1	
Decimals: 0	

## Sub-Sample (B1\_v12)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 0
Format: numeric	Invalid: 3521
Width: 1	
Decimals: 0	

## FOD Sub-Region (B1\_v13)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 0
Format: numeric	Invalid: 3521
Width: 1	
Decimals: 0	

## Segment (B1\_v14)

File: bk\_71

**Overview**

Type: Discrete	Valid cases: 0
Format: numeric	Invalid: 3521
Width: 1	
Decimals: 0	

## SSS (B1\_v15)

File: bk\_71

**Overview**

## SSS (B1\_v15)

File: bk\_71

Type: Discrete  
 Format: numeric  
 Width: 1  
 Decimals: 0

Valid cases: 0  
 Invalid: 3521

## Enterprise Number (B1\_v16)

File: bk\_71

**Overview**

Type: Discrete  
 Format: numeric  
 Width: 1  
 Decimals: 0

Valid cases: 0  
 Invalid: 3521

## Level (Level)

File: bk\_71

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 3521  
 Invalid: 0

## Filler (Filler)

File: bk\_71

**Overview**

Type: Discrete  
 Format: character  
 Width: 2

Valid cases: 3520  
 Invalid: 0

## Serial no. (B71\_v2)

File: bk\_71

**Overview**

Type: Continuous  
 Format: numeric  
 Width: 3  
 Decimals: 0  
 Range: 1-999

Valid cases: 3520  
 Invalid: 1  
 Minimum: 1  
 Maximum: 999  
 Mean: 86.3  
 Standard deviation: 213.4

## State code (B71\_v3)

File: bk\_71

**Overview**

## State code (B71\_v3)

File: bk\_71

Type: Continuous  
 Format: numeric  
 Width: 2  
 Decimals: 0  
 Range: 1-40

Valid cases: 3280  
 Invalid: 241  
 Minimum: 1  
 Maximum: 40  
 Mean: 23  
 Standard deviation: 9.8

## Sector code (B71\_v4)

File: bk\_71

**Overview**

Type: Discrete  
 Format: numeric  
 Width: 1  
 Decimals: 0  
 Range: 1-2

Valid cases: 3346  
 Invalid: 175

## No. of workers (B71\_v5)

File: bk\_71

**Overview**

Type: Continuous  
 Format: numeric  
 Width: 5  
 Decimals: 0  
 Range: 0-58583

Valid cases: 3031  
 Invalid: 490  
 Minimum: 0  
 Maximum: 58583  
 Mean: 129.2  
 Standard deviation: 1427.5

## Total receipts/ income (B71\_v6)

File: bk\_71

**Overview**

Type: Continuous  
 Format: numeric  
 Width: 12  
 Decimals: 0  
 Range: 0-114346100000

Valid cases: 983  
 Invalid: 2538  
 Minimum: 0  
 Maximum: 114346100000  
 Mean: 584966174.2  
 Standard deviation: 5483884766

## NSS Count (NS\_CNT)

File: bk\_71

**Overview**

Type: Continuous  
 Format: numeric  
 Width: 1  
 Decimals: 0  
 Range: 1-1

Valid cases: 3521  
 Invalid: 0  
 Minimum: 1  
 Maximum: 1  
 Mean: 1  
 Standard deviation: 0

## NSC Count (NSC\_CNT)

File: bk\_71

**Overview**

Type: Continuous	Valid cases: 3521
Format: numeric	Invalid: 0
Width: 1	Minimum: 1
Decimals: 0	Maximum: 1
Range: 1-1	Mean: 1
	Standard deviation: 0

## Multiplier (Mult)

File: bk\_71

**Overview**

Type: Continuous	Valid cases: 3521
Format: numeric	Invalid: 0
Width: 3	Minimum: 143
Decimals: 0	Maximum: 143
Range: 143-143	Mean: 143
	Standard deviation: 0

## Weighting Coefficient (HHWGT)

File: bk\_71

**Overview**

Type: Continuous	Valid cases: 3521
Format: numeric	Invalid: 0
Width: 4	Minimum: 1.4
Decimals: 2	Maximum: 1.4
Range: 1.43-1.43	Mean: 1.4
	Standard deviation: 0

# Documentation

## Questionnaires

### NSS 63\_2.345 Schedule

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Title NSS 63\_2.345 Schedule  
Country India  
Language English  
Filename Schedule\_63\_2.345.pdf

---

## Reports

### NSS 63\_2.345: Operational Characteristics

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Title NSS 63\_2.345: Operational Characteristics  
Filename NSS Report 63rd Service sector-operational characteristics.pdf

---

### NSS 63\_2.345: Economic Characteristics

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Title NSS 63\_2.345: Economic Characteristics  
Filename NSS Report 63rd Service sector-economic characteristics.pdf

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## Technical documents

### Record Layout-NSS 63\_2.345

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Title Record Layout-NSS 63\_2.345  
Filename Record Layout NSS 63\_2.345a.pdf

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## Read Me file

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Title Read Me file  
Filename Readme63\_2.345.txt

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## State Code

---

Title State Code  
Filename State Codes.pdf

---

## Estimation Procedure

---

Title Estimation Procedure  
Filename Estimation Procedure\_63.pdf

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## **Instructions to field staff- NSS 63\_2.345**

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Title Instructions to field staff- NSS 63\_2.345  
Country India  
Language English  
Filename Instrn to Field Staff\_63\_2.345.zip

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### **Other materials**

## **IHSN Report NSS 63\_2.345**

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Title IHSN Report NSS 63\_2.345  
Filename IHSN Report NSS 63rd Sch 2.345 Unorganised Service sector.pdf

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