

RURAL	
URBAN	

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**GOVERNMENT OF INDIA**  
**NATIONAL SAMPLE SURVEY ORGANISATION**  
**SOCIO-ECONOMIC SURVEY**  
**FIFTY-NINTH ROUND : JANUARY TO DECEMBER 2003**  
**HOUSEHOLD SCHEDULE 18.2 : DEBT AND INVESTMENT**

CENTRAL	
STATE	

\*

**VISIT NUMBER – 2**

<b>[0] descriptive identification of sample household</b>	
1. state/u.t.:	5. hamlet name:
2. district:	6. ward/inv. unit/block**:
3. tehsil/town*:	7. name of head of household:
4. village name:	8. name of informant:

<b>[1] identification of sample household</b>									
item no.	item	code			item no.	item	code		
1.	sl. number of sample village/ block				11.	FOD sub-region			
2.	round number	<b>5</b>		<b>9</b>	12.	sample hamlet-group/sub-block number			
					13.	second stage stratum			
3.	schedule number	<b>1</b>	<b>8</b>	<b>2</b>	14.	visit number			<b>2</b>
4.	sample (central-1, state-2)				15.	sample household number			
5.	sector (rural-1, urban-2)				16.	sl. no. of informant (as in col.1, block 4)			
6.	state-region				17.	response code			
7.	district				18.	survey code			
8.	stratum number				19.	reason for casualty of household			
9.	sub-round				20.	no. of partitioned household, if partitioned after visit-1			
10.	sub-sample								

**CODES FOR BLOCK 1**

*item 17: response code : co-operative & capable-1, co-operative but not capable-2, busy-3, reluctant-4, others-9.*

*item 18: survey code :*

*household surveyed in first visit is surveyed in the second visit - 1,*

*household surveyed in first visit is casualty in the second visit - 3.*

*item 19: reason for casualty of original household :*

*informant busy-1, members away from home-2, informant non-co-operative-3, others-9.*

\* tick mark ( ✓ ) may be put in the appropriate place.

\*\* delete whichever is not applicable.

[2] particulars of field operation											
srl. no.	item	investigator			assistant superintendent			superintendent			
(1)	(2)	(3)			(4)			(5)			
1.	i) name (block letters)										
	ii) code										
2.	<b>date(s) of :</b>	DD	MM	YY	DD	MM	YY	DD	MM	YY	
	(i) survey/ inspection										
	(ii) receipt										
	(iii) scrutiny										
	(iv) despatch										
3.	no. of addl. sheets attached										
4.	total time taken to canvass schedule 18.2 (in minutes)										
5.	signature										

[19] remarks by investigator

[20] remarks by supervisory officer(s)

[11] durable assets owned by the household as on the date of survey and related transactions during 01-07-2003 to the date of survey									
srl. no	item	owned as on date of survey		transactions during 01-07-2003 to date of survey				owned as on 30-06-2003	
				acquisition		disposal			
		no.	value (Rs.)	no.	value (Rs.)	no.	value (Rs.)	no. (cols.3+7-5)	value (Rs.) (cols. 4+8-6)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1.	bedstead								
2.	steel / wooden almirah / dressing table								
3.	other furniture & fixtures	x		x		x		x	
4.	radio, record player/tape recorder/ stereo/ musical instruments for								
5.	television, VCR/VCP/VCD, DVD Player, home theatre, multimedia PC								
6.	other goods for recreation , entertainment and hobby	x		x		x		x	
7.	pressure cooker/ household utensils								
8.	gas/electric oven/cooking range/ microwave oven								
9.	electric fan, clock/ watch, water filter / electric iron/ sewing machine								
10.	refrigerator/ air cooler/ air conditioner/ washing machine								
11.	other cooking and household appliances	x		x		x		x	
12.	therapeutic appliances and other personal goods								
13.	bullions & ornaments	x		x		x		x	
14.	other durables	x		x		x		x	
15.	<b>total (items 1 to 14)</b>	x		x		x		x	

[14] cash loans and kind loans receivable by household against different securities/heads on the date of survey and related transactions during 01-07-2003 to date of survey					
srl. no	securities / heads	amount receivable as on the date of survey (Rs.)	value of transactions during 01-07-2003 to the date of survey		amount receivable as on 30-06-2003 (cols. 3+5-4) (Rs.)
			lent out (Rs.)	received as repayment (Rs.)	
(1)	(2)	(3)	(4)	(5)	(6)
1.	promissary note				
2.	mortgage of real estate				
3.	pledge of bullion & ornaments/ other moveable property				
4.	unsecured loan				
5.	Professional dues, trade credit				
6.	kind loans				
7.	others				
8.	<b>total (items 1 to 7)</b>				

[15.1] number of cash loans of the household outstanding as on date of survey and number of loans fully repaid/ written off during 01.01.2003 to date of survey								
whether any cash loan outstanding on the date of survey (yes – 1, no – 2)	if yes in col 1, number of cash loans outstanding to the		was any cash loan repaid fully during 01.01.2003 to the date of survey (yes – 1, no – 2)	if yes in col. 4, number of cash loans repaid during 01.01.2003 to date of survey		was any cash loan written off during 01.01.2003 to date of survey (yes – 1, no – 2)	if yes in col. 7, number of cash loans written off by	
	institutional agency	non-institutional agency		institutional agency	non-institutional agency		institutional agency	non-institutional agency
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)

*Note: institutional agencies are government, co-operative society/ bank, commercial bank including regional rural bank, insurance, provident fund, financial corporation/institution, financial company and other institutional agencies.*

[15.2] particulars of cash loans payable by the household to institutional/ non-institutional agencies as on the date of survey and transactions of loans during 01-01-2003 to date of survey																							
srl. no. of loan	date of borrowing		borrowings										total amount (Rs) repaid during			total amount (Rs) written off during			interest accrued* during 01-07-2003 to date of survey	amount (Rs) outstanding as on date of survey			total amount (Rs) outstanding as on 30.06.03* [cols. 16 + 19 + 23 - 20]
	month	year	period of loan (code)	amount borrowed (Rs)	credit agency (code)	scheme of lending (code)	type of loan (code)	nature of interest (code)	rate of interest (p.c.)	purpose of loan (code)	type of security (code)	type of mortgage (code)	01.01.2003 to 31.03.2003	01.04.2003 to 30.06.2003	01.07.2003 to date of survey	01.01.2003 to 31.03.2003	01.04.2003 to 30.06.2003	01.07.2003 to date of survey		principal	interest	total (cols. 21 + 22)	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)	(23)	(24)
<b>A. institutional agencies</b>																							
<b>B. non-institutional agencies</b>																							

\*cols. (20) and (24) will be filled in for period of loan codes 1,2,3,4 and 5 only

[16] kind loans and other liabilities payable by the household as on the date of survey					
srl. no	nature of liability (cash-1, kind-2)	period (code)	source (code)	purpose (code)	amount outstanding as on the date of survey (Rs )
(1)	(2)	(3)	(4)	(5)	(6)

### **Codes for Block 15.2:**

<p><b>col. 4: period of loan:</b> loan taken on or before 30.6.02 and remained unpaid on 01.01.2003 – 1, loan taken during 01.07.2002 to 30.09.2002 and remained unpaid on 01.01.2003 -2, loan taken during 01.10.2002 to 31.12.2002 and remained unpaid on 01.01.2003 – 3, loan taken during 01.01.2003 to 31.03.2003 -4, loan taken during 01.04.2003 to 30.06.2003 -5 , loan taken during 01.07.2003 to date of survey – 6</p> <p><b>col. 6 :credit agencies :</b> government -01, co-operative society/bank -02, commercial bank including regional rural bank -03, insurance -04, provident fund-05, financial corporation/institution -06, financial company -07, other institutional agencies -08, landlord -09, agricultural money lender-10, professional money lender –11, trader -12, relatives and friends -13, doctors, lawyers and other professionals -14, others- 99</p>	<p><b>col 7: scheme of lending:</b> Differential Rate of Interest (DRI)scheme – 1, Prime Minister’s Rozgar Yojana (PMRY) – 2, Swarnjayanti Grainm Swarozagar Yojana(SGSY) – 3, Swarna Jayanti Sahari Rozgar Yojana(SJSRY) – 4, advances to minority communities – 5, scheme for liberalization and rehabilitation of scavengers – 6, exclusive state schemes – 7, others schemes – 8, not covered under any scheme – 9.</p> <p><b>col 8 : type of loans:</b> short-term-pledged -1, short term-non-pledged -2, medium term -3, long term -4</p>	<p><b>col. 9 : nature of interest:</b> interest free -1, simple -2, compound -3, concessional rate -4</p> <p><b>col. 11: purpose of loan:</b> capital expenditure in farm business -1, current expenditure in farm business-2, capital expenditure in non-farm business -3, , current expenditure in non- farm business-4, household expenditure --5, expenditure on litigation-6, repayment of debt-7, financial investment expenditure-8, others-9.</p>
<p><b>col 12: type of security:</b> personal security -01, sure security or guarantee by third party -02, crop -03, first charge on immovable property - 04, mortgage of immovable property -05, bullion/ornaments -06, share of companies, govt. securities and insurance policies etc. – 07, agricultural commodities -08, movable property other than bullion, ornaments, shares, agricultural commodities etc. – 09, other type of security – 10</p> <p><b>col 13 : type of mortgage:</b> simple mortgage -1, usufructuary mortgage -2, mortgage by conditional sale -03, other type of mortgage -04, no mortgage – 5</p>		

### **Codes for Block 16:**

<p><b>col 3: period:</b> less than 1 month.-1, 1 month and above but less than 3 months-2, 3 months and above but less than 6 months-3, 6 months &amp; above but less than 1 year-4, one year &amp; above-5</p>	<p><b>col 4: source:</b> trader -1; relatives &amp; friends -2, doctor, lawyers and other professionals– 3; others -9</p>	<p><b>col. 5: purpose :</b> current expenditure in farm business - 1, current expenditure in non farm business -2, other household expenditure -3, other expenditure -9</p>
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[17.1] specified items on which household incurred expenditure during 01-01-2003 to 30-06-2003			
item code	item description	whether incurred any expenditure for	
		purchase(new), construction, addition, major repairs & alterations, improvement (yes -1, no-2)	normal repairs & maintenance (yes -1, no-2)
(1)	(2)	(3)	(4)
<b>A. residential plots &amp; buildings</b>			
101	purchase of plots		X
102	improvement of plots		X
103	purchase of houses, buildings or other residential constructions		X
104	construction of houses, buildings or other residential constructions		X
105	addition/ major rep. & alter./ improv./normal repair of houses, buildings or other constructions		
<b>B. farm business</b>			
201	purchase of land		X
202	purchase of land rights		X
203	bunds and other land improvement works		
204	reclamation of land		
205	orchard & plantations		
206	purchase of farm houses, barns & animals sheds		X
207	construction of farm houses, barns & animals sheds		X
208	addition/ major rep. & alter./ improv./normal repair of farm houses, barns & animals sheds		
209	wells		
210	other irrigation resources		
211	other constructions in the farm business		
212	livestock used as fixed asset		
213	purchase of agricultural machinery & implements		X
214	addition/ major rep. & alter./ improv./normal repair of agricultural machinery & implements		
215	purchase of transport equipments		X
216	addition/ major rep. & alter./ improv./normal repair of transport equipments		
217	furniture & fixtures		
218	other expenditure on farm business		
<b>C. non-farm business</b>			
301	purchase of land		X
302	improvement of land		X
303	purchase of workshop, shop		X
304	construction of workshop, shop		X
305	addition/ major rep. & alter./ improv./normal repair of workshop, shop		
306	other constructions in the non-farm business		X
307	purchase of non-farm business equipment & accessories		X
308	addition/ major rep. & alter./ improv./normal repair of non-farm business equip. & accessories		
309	purchase of transport equipment		X
310	addition/ major rep. & alter./ improv./normal repair of transport equipments		
311	furniture & fixtures		
312	other expenditure on non-farm business		

Note: all purchases of plot/ land made by the household will be recorded in this block.

[17.2] expenditure incurred by the household on specified items during 01.01.2003 to 30.06.2003											
item code	item description	purpose of expenditure (code)	exp. in cash (Rs.)		exp. in kind (Rs.)		value of assets and materials used out of home- produced stock (Rs.)	value of work done by household members (Rs.)	total (cols.4 to 9) (Rs.)	amount financed from borrowings (Rs.)	
			purchase of assets and materials	payment to hired labour	purchase of assets and materials	payment to hired labour				institutional	non-institutional
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
							-				

**Codes for Block 17.2**

**col 1 :item code :** as given in col 1 of Block 17.1

**col 3: purpose of expenditure:**

purchase(new) (incl. purchase of land rights etc.) – 1, additions (incl. reclamation of land / construction) -2, major repairs & alterations (including renewal & replacement) - 3, improvement (incl. bunding and other land improvements/normal annual replanting in case of orchard and plantations) -4, normal repairs & maintenance -5



[18] Particulars of sale and loss of assets during 01-01-2003 to 30-06-2003					
srl. no.	item description	amount received from sale (Rs)	value (Rs.) of loss		salvage value of discarded assets (Rs.)
			by natural calamities etc.	due to other reasons	
(1)	(2)	(3)	(4)	(5)	(6)
<b>A. residential plots &amp; buildings</b>					
1.	plots				
2.	houses, buildings and other constructions				
<b>B. assets of farm business</b>					
3.	land				
4.	bunds and other land improvement works				
5.	farm houses, barns, animal shed and other constructions				
6.	wells & other irrigation sources				
7.	orchard & plantations				
8.	Adult livestock used as fixed asset				
9.	agricultural machinery and implements				
10.	transport equipments				
11.	furniture and fixtures				
12.	other farm business assets				
<b>C. assets of non-farm business</b>					
13.	Land				
14.	workshop, shop & other constructions				
15.	non-farm business equipment & accessories				
16.	transport equipments				
17.	furniture & fixture				
18.	other non-farm business assets				
<b>D. other assets of the household</b>					
19.	livestock & poultry(both old and young) kept as inventory				
20.	financial assets				
21.	transport equipment for household use				
22.	bullion/ornaments				
23.	household durable goods				
24.	<b>total (items 1to 23 )</b>				